

# Variants of Equivariant Seiberg-Witten Floer Homology

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## Abstract

For a rational homology 3-sphere  $Y$  with a  $\text{Spin}^c$  structure  $\mathfrak{s}$ , we show that simple algebraic manipulations of our construction of equivariant Seiberg-Witten Floer homology in [5] lead to a collection of variants  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$ ,  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  and  $HF_{red,*}^{SW}(Y, \mathfrak{s})$  which are topological invariants. We establish a long exact sequence relating  $HF_{*,U(1)}^{SW,\pm}(Y, \mathfrak{s})$  and  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ . We show they satisfy a duality under orientation reversal, and we explain their relation to the equivariant Seiberg-Witten Floer (co)homologies introduced in [5]. We conjecture the equivalence of these versions of equivariant Seiberg-Witten Floer homology with the Heegaard Floer invariants introduced by Ozsváth and Szabó.

**Key words:** rational homology 3-spheres, equivariant Seiberg-Witten Floer homology,  $\text{Spin}^c$  structures, topological invariants.

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## 1 Introduction

For any rational homology 3-sphere  $Y$  with a  $\text{Spin}^c$  structure  $\mathfrak{s}$ , we constructed in [5] an equivariant Seiberg-Witten Floer homology  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$ , which is a topological invariant. In this paper, we will generalize this construction to provide a collection of equivariant Seiberg-Witten Floer homologies  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$ ,  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  and  $HF_{red,*}^{SW}(Y, \mathfrak{s})$ , all of which are topological invariants, such that  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  is isomorphic to the equivariant Seiberg-Witten Floer homology  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  constructed in [5]. The construction utilizes the  $U(1)$ -invariant forms on  $U(1)$ -manifolds twisted with coefficients in the Laurent polynomial algebra over integers.

In analogy to Austin and Braam's construction of equivariant instanton Floer homology in [1], the equivariant Seiberg-Witten Floer homology  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  is the homology of the complex

$(CF_{*,U(1)}^{SW}(Y, \mathfrak{s}), D)$ , where  $CF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  is generated by equivariant de Rham forms over all  $U(1)$ -orbits of the solutions of 3-dimensional Seiberg-Witten equations on  $(Y, \mathfrak{s})$  modulo based gauge transformations (Cf.[5]). More specifically,

$$CF_{*,U(1)}^{SW}(Y, \mathfrak{s}) = \bigoplus_{a \in \mathcal{M}_Y^*(\mathfrak{s})} \mathbb{Z}[\Omega] \otimes (\mathbb{Z}\eta_a \oplus \mathbb{Z}1_a) \oplus \mathbb{Z}[\Omega] \otimes \mathbb{Z}1_\theta, \quad (1)$$

where  $\mathcal{M}_Y(\mathfrak{s}) = \mathcal{M}_Y^*(\mathfrak{s}) \cup \{\theta\}$  is the equivalence classes of solutions to the Seiberg-Witten equations for a good pair of metric and perturbations, consists of the irreducible monopoles  $\mathcal{M}_Y^*(\mathfrak{s})$  and the unique reducible monopole  $\theta$ . We used the notation  $\eta_a$  to denote a 1-form on  $O_a \cong S^1$ , such that the cohomology class  $[\eta_a]$  is an integral generator of  $H^1(O_a)$ . Similarly, we denote by  $1_a$  the 0-form given by the constant function.

Each generator is endowed with a grading such that, for any  $k \geq 0$ ,

$$gr(\Omega^k \otimes \eta_a) = 2k + gr(a), \quad gr(\Omega^k \otimes 1_a) = 2k + gr(a) + 1, \quad \text{and} \quad gr(\Omega^k \otimes 1_\theta) = 2k, \quad (2)$$

where  $gr : \mathcal{M}_Y^*(\mathfrak{s}) \rightarrow \mathbb{Z}$  is the relative grading with respect to the reducible monopole  $\theta$ . This corresponds to grading equivariant de Rham forms on each orbit  $O_a$  by codimension (Cf.[5] §5 for details).

The differential operator  $D$  can be expressed explicitly in components as the form:

$$\begin{aligned} D(\Omega^k \otimes \eta_a) &= \sum_{\substack{b \in \mathcal{M}_Y^*(\mathfrak{s}) \\ gr(a) - gr(b) = 1}} n_{ab} \Omega^k \otimes \eta_b + \sum_{\substack{c \in \mathcal{M}_Y^*(\mathfrak{s}) \\ gr(a) - gr(c) = 2}} m_{ac} \Omega^k \otimes 1_c - \Omega^{k-1} \otimes 1_a \\ &\quad + n_{a\theta} \Omega^k \otimes 1_\theta \text{ (if } gr(a) = 1); \\ D(\Omega^k \otimes 1_a) &= - \sum_{\substack{b \in \mathcal{M}_Y^*(\mathfrak{s}) \\ gr(a) - gr(b) = 1}} n_{ab} \Omega^k \otimes 1_b; \\ D(\Omega^k \otimes 1_\theta) &= \sum_{\substack{d \in \mathcal{M}_Y^*(\mathfrak{s}) \\ gr(d) = -2}} n_{\theta d} \Omega^k \otimes 1_d. \end{aligned} \quad (3)$$

where  $n_{ab}$ ,  $n_{a\theta}$  and  $n_{\theta d}$  is the counting of flowlines from  $a$  to  $b$  (if  $gr(a) - gr(b) = 1$ ), from  $a$  to  $\theta$  (if  $gr(a) = 1$ ) and from  $\theta$  to  $d$  (if  $gr(d) = -2$ ), and  $m_{ac}$  (if  $gr(a) - gr(c) = 2$ ) is described as a relative Euler number associated to the 2-dimensional moduli space of flowlines from  $a$  to  $c$  (Cf. Lemma 5.7 of [5]). In the next section, we shall briefly review the construction and various relations among the coefficients, as established in [5]. These identities ensure that  $D^2 = 0$ . Notice that, in the complex  $CF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  and in the expression of the differential operator, only terms with non-negative powers of  $\Omega$  are considered. We modify the construction as follows.

**Definition 1.1.** Let  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  be the graded complex generated by

$$\{\Omega^k \otimes \eta_a, \Omega^k \otimes 1_a, \Omega^k \otimes 1_\theta : a \in \mathcal{M}_Y^*(\mathfrak{s}), k \in \mathbb{Z}\}$$

with the grading  $gr$  and the differential operator  $D$  given by (2) and (3) respectively. Let  $CF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$  be the subcomplex of  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ , generated by those generators with negative power of  $\Omega$ . The quotient complex is denoted by  $CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$ . Their homologies are denoted by  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$  and  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  respectively.

The main results in this paper relate these homologies to the equivariant Seiberg-Witten-Floer homology  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  and cohomology  $HF_{U(1)}^{SW,*}(Y, \mathfrak{s})$  constructed in [5] and establish some of their main properties.

**Theorem 1.2.** For any rational homology 3-sphere  $Y$  with a  $\text{Spin}^c$  structure  $\mathfrak{s} \in \text{Spin}^c(Y)$ , these homologies satisfy the following properties:

1.  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \cong \mathbb{Z}[\Omega, \Omega^{-1}]$ .
2.  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \cong HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  where  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  is the equivariant Seiberg-Witten Floer homology for  $(Y, \mathfrak{s})$  constructed in [5].
3.  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s}) \cong HF_{U(1)}^{SW,*}(-Y, \mathfrak{s})$  where  $HF_{U(1)}^{SW,*}(-Y, \mathfrak{s})$  is the equivariant Seiberg-Witten Floer cohomology for  $(-Y, \mathfrak{s})$  constructed in [5].
4. There exists a long exact sequence

$$\cdots \rightarrow HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s}) \xrightarrow{l_*} HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \xrightarrow{\pi_*} HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \xrightarrow{\delta_*} HF_{*-1,U(1)}^{SW,-}(Y, \mathfrak{s}) \rightarrow \cdots \quad (4)$$

relating these homologies. Moreover,  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  and  $HF_{red,*}^{SW}(Y, \mathfrak{s}) = \text{Coker}(\pi_*) \cong \text{Ker}(l_{*-1})$  are all topological invariants of  $(Y, \mathfrak{s})$ .

5. There is a  $u$ -action on  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$ ,  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  and  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  respectively which decreases the degree by two, and is related to the cutting down moduli spaces of flowlines by a geometric representative of a degree 2 characteristic form. The long exact sequence (4) is a long exact sequence of  $\mathbb{Z}[u]$ -modules.

6. There is a homology group  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$ , which is also a topological invariant of  $(Y, \mathfrak{s})$ , such that the following sequence is exact:

$$\cdots \rightarrow \widehat{HF}_*^{SW}(Y, \mathfrak{s}) \longrightarrow HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \xrightarrow{u} HF_{*-2,U(1)}^{SW,+}(Y, \mathfrak{s}) \longrightarrow \widehat{HF}_{*-1}^{SW}(Y, \mathfrak{s}) \rightarrow \cdots \quad (5)$$

and that  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  is non-trivial if and only if  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  is non-trivial.

The  $u$ -action in the main theorem is induced from a  $u$ -action on the chain complex

$$u : \quad CF_{*,U(1)}^{SW,\infty} \rightarrow CF_{*,U(1)}^{SW,\infty},$$

which decreases the degree by 2. We will show that this  $u$ -action is homotopic to the obvious  $\Omega^{-1}$  action on the chain complex  $CF_{*,U(1)}^{SW,\infty}$ . Thus, the induced  $u$ -action on  $HF_{*,U(1)}^{SW,\pm}(Y, \mathfrak{s})$  endows them with  $\mathbb{Z}[u]$ -module structures.

Let  $\widehat{CF}_*^{SW}(Y, \mathfrak{s})$  be the subcomplex of  $CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  such that the following sequence is a short exact sequence of chain complexes:

$$0 \rightarrow \widehat{CF}_*^{SW}(Y, \mathfrak{s}) \longrightarrow CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \xrightarrow{\Omega^{-1}} CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \rightarrow 0$$

We can define  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  to be the homology of  $\widehat{CF}_*^{SW}(Y, \mathfrak{s})$ .

In recent work [7] [8], Ozsváth and Szabó introduced Heegaard Floer invariants  $HF_*^\pm(Y, \mathfrak{s})$ ,  $HF_*^\infty(Y, \mathfrak{s})$ ,  $\widehat{HF}_*(Y, \mathfrak{s})$ , and  $HF_{red,*}(Y, \mathfrak{s})$ , with exact sequences relating them. In view of their construction, the result of Theorem 1.2, together with the identification of our  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  and the  $HF_*^\infty(Y, \mathfrak{s})$  of Ozsváth and Szabó, suggest the following conjecture.

**Conjecture 1.3.** *For any rational homology 3-sphere  $Y$  with a  $\text{Spin}^c$  structure  $\mathfrak{s} \in \text{Spin}^c(Y)$ , there are isomorphisms*

$$\begin{aligned} HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) &\cong HF_*^+(Y, \mathfrak{s}), & HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s}) &\cong HF_*^-(Y, \mathfrak{s}); \\ \widehat{HF}_*^{SW}(Y, \mathfrak{s}) &\cong \widehat{HF}_*(Y, \mathfrak{s}), & HF_{red,*}^{SW}(Y, \mathfrak{s}) &\cong HF_{red,*}(Y, \mathfrak{s}). \end{aligned}$$

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## 2 Review of equivariant Seiberg-Witten Floer homology

In this section, we recall some of basic ingredients in the definition of the equivariant Seiberg-Witten Floer homology from [5] (See [5] for all the details).

Let  $(Y, \mathfrak{s})$  be a rational homology 3-sphere  $Y$  with a  $\text{Spin}^c$  structure  $\mathfrak{s} \in \text{Spin}^c(Y)$ . For a good pair of metric and perturbation (a co-closed imaginary-valued 1-form  $\nu$ ) on  $Y$ , the 3-dimensional Seiberg-Witten equations on  $(Y, \mathfrak{s})$  (Cf. [2] [3] [4] [5]):

$$\begin{cases} *F_A = \sigma(\psi, \psi) + \nu \\ \not{D}_A \psi = 0, \end{cases} \quad (6)$$

for a pair of  $\text{Spin}^c$  connection  $A$  and a spinor  $\psi$ , have only finitely many irreducible solutions (modulo the gauge transformations), denoted by  $\mathcal{M}_Y^*(\mathfrak{s})$  the set of equivalence classes of irreducible solutions to (6), and  $\theta$  is the unique reducible solution (modulo the gauge transformations). Write  $\mathcal{M}_Y(\mathfrak{s}) = \mathcal{M}_Y^*(\mathfrak{s}) \cup \{\theta\}$ .

Gauge classes of finite energy solutions to the 4-dimensional Seiberg-Witten equations, perturbed as in [2] [3] [5], can be regarded as moduli spaces of flowlines of the Chern-Simons-Dirac functional on the gauge equivalence classes of  $\text{Spin}^c$  connections and spinors for  $(Y, \mathfrak{s})$ . These can be partitioned into moduli spaces of flowlines between pairs of critical points from  $\mathcal{M}_Y(\mathfrak{s})$ . Each is a smooth oriented manifold which can be compactified to a smooth manifold with corners by adding broken flowlines that split through intermediate critical points.

The spectral flow of the Hessian operator of the Chern-Simons-Dirac functional defines a relative grading on  $\mathcal{M}_Y(\mathfrak{s})$ :

$$gr(\cdot, \cdot) : \quad \mathcal{M}_Y(\mathfrak{s}) \times \mathcal{M}_Y(\mathfrak{s}) \rightarrow \mathbb{Z}.$$

In particular, using the unique reducible point  $\theta$  in  $\mathcal{M}_Y(\mathfrak{s})$ , there is a  $\mathbb{Z}$ -lifting of the relative grading given by  $gr(a) = gr(a, \theta)$ .

Let  $a$  be an irreducible monopole in  $\mathcal{M}_Y(\mathfrak{s})$ , then for any  $b \neq a$  in  $\mathcal{M}_Y(\mathfrak{s})$ , the moduli space of flowlines from  $a$  to  $b$ , denoted by  $\mathcal{M}(a, b)$  has dimension  $gr(a) - gr(b) > 0$  (if non-empty). The moduli space of flowlines from  $\theta$  to  $d \in \mathcal{M}_Y^*(\mathfrak{s})$ , denoted by  $\mathcal{M}(\theta, d)$  has dimension  $-gr(d) - 1 > 0$  (if non-empty). Note that all these moduli spaces of flowlines admit an  $\mathbb{R}$ -action given by the  $\mathbb{R}$ -translation of flowlines: the corresponding quotient spaces are denoted by  $\widehat{\mathcal{M}}(a, b)$  and  $\widehat{\mathcal{M}}(\theta, d)$ , respectively.

For any irreducible critical points  $a$  and  $c$  in  $\mathcal{M}_Y(\mathfrak{s})$  with  $gr(a) - gr(c) = 2$ , we can construct a canonical complex line bundle over  $\mathcal{M}(a, c)$  and a canonical section as follows (see section 5.3 in [5]). Choose a base point  $(y_0, t_0)$  on  $Y \times \mathbb{R}$ , and a complex line  $\ell_{y_0}$  in the fiber  $W_{y_0}$  of the spinor bundle  $W$  over  $y_0 \in Y$ . We choose  $\ell_{y_0}$  so that it does not contain the spinor part  $\psi$  of any irreducible critical point. Since there are only finitely many critical points we can guarantee such choice exists. Denote the based moduli space of  $\mathcal{M}(a, c)$  by  $\mathcal{M}(O_a, O_c)$  as in [5], where  $O_a$  and  $O_c$  are the  $U(1)$ -orbits of monopoles on the based configuration space. We consider the line bundle

$$\mathcal{L}_{ac} = \mathcal{M}(O_a, O_c) \times_{U(1)} (W_{y_0}/\ell_{y_0}) \rightarrow \mathcal{M}(a, c) \quad (7)$$

with a section given by

$$s([A, \Psi]) = ([A, \Psi], \Psi(y_0, t_0)). \quad (8)$$

For a generic choice of  $(y_0, t_0)$  and  $\ell_{y_0}$ , the section  $s$  of (8) has no zeroes on the boundary strata of the compactification of  $\mathcal{M}(a, c)$ . This determines a trivialization of  $\mathcal{L}_{ac}$  away from a compact set in  $\mathcal{M}(a, c)$ . The line bundle  $\mathcal{L}_{ac}$  over  $\mathcal{M}(a, c)$ , with the trivialization  $\varphi$  specified above, has a well-defined relative Euler class (Cf. Lemma 5.7 in [5]).

**Definition 2.1.** 1. For any two irreducible critical points  $a$  and  $b$  in  $\mathcal{M}_Y(\mathfrak{s})$  with  $gr(a) - gr(b) = 1$ , we define  $n_{ab} := \#(\hat{\mathcal{M}}(a, b))$ , the number of flowlines in  $\mathcal{M}(a, b)$  counting with orientations. Similarly, for any  $a \in \mathcal{M}_Y(\mathfrak{s})$  with  $gr(a) = 1$  and any  $d \in \mathcal{M}_Y(\mathfrak{s})$  with  $gr(d) = -2$ , we define  $n_{a\theta} := \#(\hat{\mathcal{M}}(a, \theta))$  and  $n_{\theta d} := \#(\hat{\mathcal{M}}(\theta, d))$ , respectively.

2. For any two irreducible critical points  $a$  and  $c$  in  $\mathcal{M}_Y(\mathfrak{s})$  with  $gr(a) - gr(c) = 1$ , we define  $m_{ac}$  to be the relative Euler number of the canonical line bundle  $\mathcal{L}_{ac}$  (7) with the canonical trivialization given by (8).

The following proposition states various relations satisfied by the integers defined in Definition 2.1, whose proof can be found in Remark 5.8 of [5].

**Proposition 2.2.** 1. For any irreducible critical point  $a$  in  $\mathcal{M}_Y^*(\mathfrak{s})$  and any critical point  $c$  in  $\mathcal{M}_Y(\mathfrak{s})$  with  $gr(a) - gr(c) = 2$ , we have the following identity:

$$\sum_{\substack{b \in \mathcal{M}_Y^*(\mathfrak{s}) \\ gr(a) - gr(b) = 1}} n_{ab} n_{bc} = 0.$$

2. Let  $a$  and  $d$  be two irreducible critical points with  $gr(a) - gr(d) = 3$ . Assume that all the critical points  $c$  with  $gr(a) > gr(c) > gr(d)$  are irreducible. Then we have the identity

$$\sum_{c_1: gr(a) - gr(c_1) = 1} n_{a,c_1} m_{c_1,d} - \sum_{c_2: gr(c_2) - gr(d) = 1} m_{a,c_2} n_{c_2,d} = 0.$$

When  $gr(a) = 1$  and  $gr(d) = -2$ , we have the identity

$$\sum_{c_1: gr(c_1) = 0} n_{a,c_1} m_{c_1,d} + n_{a\theta} n_{\theta d} - \sum_{c_2: gr(c_2) = -1} m_{a,c_2} n_{c_2,d} = 0.$$

With the help of this Proposition, we can check that the equivariant Seiberg-Witten-Floer complex  $CF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  as given in (1) with the grading and the differential operator given by (2) and (3) is well-defined, and we denote its homology by  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$ . The equivariant Seiberg-Witten-Floer cohomology, denoted by  $HF_{U(1)}^{SW,*}(Y, \mathfrak{s})$ , is the homology of the dual complex  $Hom(CF_{*,U(1)}^{SW}(Y, \mathfrak{s}), \mathbb{Z})$ . The main result in [5] shows that the equivariant Seiberg-Witten Floer homology  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  and cohomology  $HF_{U(1)}^{SW,*}(Y, \mathfrak{s})$  are topological invariants of  $(Y, \mathfrak{s})$ .

### 3 Variants of equivariant Seiberg-Witten Floer homology

As mentioned in the introduction, we will generalize the construction of the equivariant Seiberg-Witten Floer homology in several ways.

First, we denote by  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  the graded complex generated by

$$\{\Omega^k \otimes \eta_a, \Omega^k \otimes 1_a, \Omega^k \otimes 1_\theta : a \in \mathcal{M}_Y^*(\mathfrak{s}), k \in \mathbb{Z}\}$$

More precisely, for any irreducible critical orbits  $O_a$ , we set

$$\begin{aligned} C_{*,U(1)}^\infty(O_a) &= \mathbb{Z}[\Omega, \Omega^{-1}] \otimes \Omega_0^*(O_a) \\ &:= \bigoplus_{k \in \mathbb{Z}} (\mathbb{Z}\Omega^k \otimes \eta_a + \mathbb{Z}\Omega^k \otimes 1_a) \end{aligned}$$

with the grading  $gr(\Omega^k \otimes \eta_a) = 2k + gr(a)$  and  $gr(\Omega^k \otimes 1_a) = 2k + gr(a) + 1$ , and we set

$$C_{*,U(1)}^\infty(\theta) = \bigoplus_{k \in \mathbb{Z}} \mathbb{Z}\Omega^k \otimes 1_\theta$$

with the grading  $gr(\Omega^k \otimes 1_\theta) = 2k$ .

We then consider

$$CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) = \bigoplus_{a \in \mathcal{M}_Y(\mathfrak{s})} \mathbb{Z}[\Omega, \Omega^{-1}] \otimes \Omega_0^{*\dim(O_a)}(O_a), \quad (9)$$

with the grading and the differential operator given by (2) and (3) respectively. That is,  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  is given by

$$\begin{aligned} & \bigoplus_{a \in \mathcal{M}_Y(\mathfrak{s})} C_{*,U(1)}^\infty(O_a) \\ = & \bigoplus_{a \in \mathcal{M}_Y^+(\mathfrak{s})} C_{*,U(1)}^\infty(O_a) \oplus C_{*,U(1)}^\infty(\theta). \end{aligned}$$

**Theorem 3.1.** *Define  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  to be the homology of  $(CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}), D)$ . Then we have*

$$HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \cong \mathbb{Z}[\Omega, \Omega^{-1}].$$

**Proof.** Consider the filtration of  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  according to the grading of the critical points

$$\mathcal{F}_n C_{*,U(1)}^\infty := \bigoplus_{gr(a) \leq n} C_{*,U(1)}^\infty(O_a)$$

the corresponding spectral sequence  $E_{kl}^r$ . The filtration is exhaustive, that is,

$$CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) = \bigcup_n \mathcal{F}_n C_{*,U(1)}^\infty,$$

and

$$\cdots \subset \mathcal{F}_{n-1} C_{*,U(1)}^\infty \subset \mathcal{F}_n C_{*,U(1)}^\infty \subset \mathcal{F}_{n+1} C_{*,U(1)}^\infty \subset \cdots \subset CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}).$$

Moreover, by the compactness of the moduli space of critical orbits, the set of indices  $gr(a)$  is bounded from above and below, hence the filtration is bounded. Thus, the spectral sequence converges to  $HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ .

We compute the  $E^0$ -term:

$$\begin{aligned} E_{kl}^0 &= \mathcal{F}_k C_{k+l,U(1)}^\infty / \mathcal{F}_{k-1} C_{k+l,U(1)}^\infty \\ &= \bigoplus_{a \in \mathcal{M}_Y(\mathfrak{s}): gr(a)=i \leq k} C_{k+l-i,U(1)}^\infty(O_a) / \bigoplus_{a \in \mathcal{M}_Y(\mathfrak{s}): gr(a)=i \leq k-1} C_{k+l-i,U(1)}^\infty(O_a) \\ &= \bigoplus_{a \in \mathcal{M}_Y(\mathfrak{s}): gr(a)=k} C_{l,U(1)}^\infty(O_a). \end{aligned}$$



For  $k \neq 0$  this complex is just the direct sum of the separate complexes  $(C_{*,U(1)}^\infty(O_a), \partial_{U(1)})$  on each orbit  $O_a$  with  $gr(a) = k$ :

$$\cdots \rightarrow \mathbb{Z}.\Omega \otimes 1_a \xrightarrow{0} \mathbb{Z}.\Omega \otimes \eta_a \xrightarrow{-1} \mathbb{Z}.1 \otimes 1_a \xrightarrow{0} \mathbb{Z}.1 \otimes \eta_a \xrightarrow{-1} \mathbb{Z}.\Omega^{-1} \otimes 1_a \rightarrow \cdots \quad (10)$$

In the case  $k = 0$  we have

$$E_{0,l}^0 = C_{l,U(1)}^\infty(\theta) \oplus \bigoplus_{a \in \mathcal{M}_Y^*(\mathfrak{s}): gr(a)=0} C_{l,U(1)}^\infty(O_a),$$

which again is a direct sum of the complexes  $(C_{*,U(1)}^\infty(O_a), \partial_{U(1)})$ , here  $\partial_{U(1)}$  is the equivariant de Rham differential, and of the complex with generators  $\Omega^r \otimes 1_\theta$  in degree  $l = 2r$  and trivial differentials.

We then compute the  $E_{pq}^1$  term directly: we have

$$E_{kl}^1 = H_{k+l}(E_{k,*}^0) = \begin{cases} \mathbb{Z}.\Omega^r \otimes 1_\theta & k = 0, l = 2r \\ 0 & k \neq 0, \end{cases}$$

since each complex (10) is acyclic. Thus, the only non-trivial  $E^1$ -terms are of the form  $E_{0l}^1 = \mathbb{Z}.\Omega^r \otimes 1_\theta$ ,  $l = 2r$ , with trivial differentials, so that the spectral sequence collapses and we obtain the result.  $\square$

### 3.1 Long exact sequence

**Definition 3.2.** Let  $CF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$  be the subcomplex of  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ , generated by

$$\{\Omega^k \otimes \eta_a, \Omega^k \otimes 1_a, \Omega^k \otimes 1_\theta : a \in \mathcal{M}_Y^*(\mathfrak{s}), k \in \mathbb{Z} \text{ and } k < 0\},$$

whose homology groups are denoted by  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$ . The quotient complex is denoted by  $CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$ , with the homology groups denoted by  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$ .

**Theorem 3.3.** 1.  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \cong HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$ , where  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  is the equivariant Seiberg-Witten-Floer homology defined in [5].

2. There is an exact sequence of  $\mathbb{Z}$ -modules which relates these variants of equivariant Seiberg-Witten-Floer homologies:

$$\cdots \rightarrow HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s}) \xrightarrow{l_*} HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \xrightarrow{\pi_*} HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \xrightarrow{\delta_*} HF_{*-1,U(1)}^{SW,-}(Y, \mathfrak{s}) \rightarrow \cdots$$

**Proof.** It is easy to see that  $CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) = CF_{*,U(1)}^{SW}(Y, \mathfrak{s})$ , with the same grading and differentials, hence  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \cong HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$ . The long exact sequence in homology is induced by the short exact sequence of chain complexes:

$$0 \rightarrow CF_{*,U(1)}^{SW,-}(Y, \mathfrak{s}) \rightarrow CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \rightarrow CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \rightarrow 0.$$

□

From the above long exact sequence, we can define

$$\begin{aligned} HF_{red,*}^{SW}(Y, \mathfrak{s}) &= \text{Coker}(\pi_*) \cong HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) / \text{Ker}(\delta_*) \\ &\cong \text{Im}(\delta_*) \cong \text{Ker}(l_{*-1}). \end{aligned} \tag{11}$$

### 3.2 The spectral sequence for $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$

We consider again the filtration by index of critical orbits,

$$\mathcal{F}_n C_{*,U(1)}^+ := \bigoplus_{\text{gr}(a) \leq n} C_{*,U(1)}^+(O_a),$$

for

$$C_{*,U(1)}^+(O_a) = \mathbb{Z}[\Omega] \otimes \Omega_0^{*-\dim(O_a)}(O_a).$$

We have

$$\begin{aligned} E_{kl}^0 &= \mathcal{F}_k C_{k+l,U(1)}^+ / \mathcal{F}_{k-1} C_{k+l,U(1)}^+ \\ &= \bigoplus_{\text{gr}(a)=k} C_{l,U(1)}^+(O_a). \end{aligned}$$

This is a direct sum of the complexes

$$\dots \xrightarrow{-1} \mathbb{Z}.\Omega \otimes 1_a \xrightarrow{0} \mathbb{Z}.\Omega \otimes \eta_a \xrightarrow{-1} \mathbb{Z}.1 \otimes 1_a \xrightarrow{0} \mathbb{Z}.1 \otimes \eta_a \rightarrow 0, \tag{12}$$

over each orbit  $O_a \cong S^1$  and, in the case  $k = 0$ , the complex with generators  $\Omega^r \otimes 1_\theta$  in degree  $l = 2r$ , and trivial differentials.

Thus, we obtain that  $E_{pq}^1 = H_{p+q}(E_{p*}^0)$  is of the form

$$E_{pq}^1 = \begin{cases} 0 & q > 0 \\ \mathbb{Z}.1 \otimes \eta_a & q = 0, \text{gr}(a) = k \end{cases}$$

for  $k \neq 0$ , and

$$E_{0q}^1 = \begin{cases} \mathbb{Z}.\Omega^r \otimes 1_\theta & q = 2r > 0 \\ \mathbb{Z}.1 \otimes \eta_a \oplus \mathbb{Z}.1 \otimes 1_\theta & q = 0, \text{gr}(a) = 0. \end{cases}$$

The differential  $d^1 : E_{p,q}^1 \rightarrow E_{p-1,q}^1$  is of the form

$$d^1(1 \otimes \eta_a) = n_{ab}1 \otimes \eta_b \\ + n_{a\theta}1 \otimes 1_\theta \quad (\text{if } \text{gr}(a) = 1)$$

Thus, we obtain

$$E_{pq}^2 = \begin{cases} HF_p^{SW}(Y, \mathfrak{s}) & p \neq 0, q = 0 \\ Ker(\Delta_1) & p = 1, q = 0 \\ HF_0^{SW}(Y, \mathfrak{s}) \oplus T_0 & p = 0, q = 0 \\ \mathbb{Z}.\Omega^r \otimes 1_\theta & p = 0, q = 2r > 0. \end{cases}$$

Here  $HF_*^{SW}(Y, \mathfrak{s})$  denotes the non-equivariant (metric and perturbation dependent) Seiberg–Witten Floer homology. This is the homology of the complex with generators  $1 \otimes \eta_a$  in degree  $\text{gr}(a)$  and boundary coefficients  $n_{ab}$  for  $\text{gr}(a) - \text{gr}(b) = 1$ . We also denoted by  $\Delta_1$  the map

$$\Delta_1 : HF_1^{SW}(Y, \mathfrak{s}) \rightarrow \mathbb{Z}.1 \otimes 1_\theta,$$

$$\Delta_1\left(\sum x_a 1 \otimes \eta_a\right) = \sum x_a n_{a\theta} 1 \otimes 1_\theta,$$

where the coefficients  $x_a$  satisfy  $\sum x_a n_{ab} = 0$ . Finally, the term  $T_0$  denotes the term

$$T_0 = \mathbb{Z}.1 \otimes 1_\theta / \text{Im}(\Delta_1).$$

Notice then that the boundary  $d^2 : E_{p,q}^2 \rightarrow E_{p-2,q+1}^2$  is trivial, hence the  $E_{p,q}^3$  terms are disposed as in the diagram:

$$\begin{array}{ccccccccccc} \cdots & 0 & 0 & 0 & 0 & \mathbb{Z}.\Omega^2 \otimes 1_\theta & 0 & 0 & \cdots \\ \cdots & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ \cdots & 0 & 0 & 0 & 0 & \mathbb{Z}.\Omega \otimes 1_\theta & 0 & 0 & \cdots \\ \cdots & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ \cdots & HF_4^{SW} & HF_3^{SW} & HF_2^{SW} & Ker(\Delta_1) & HF_0^{SW} \oplus T_0 & HF_{-1}^{SW} & HF_{-2}^{SW} & \cdots \end{array}$$

$\nearrow d^3$

The differential  $d^3 : E_{p,q}^3 \rightarrow E_{p-3,q+2}^3$  is given by the expression

$$d^3([\sum x_a 1 \otimes \eta_a]) = \sum x_a m_{ac} n_{c\theta} \Omega \otimes 1_\theta, \quad (13)$$

for  $\text{gr}(a) - \text{gr}(c) = 2$ . The expression is obtained by considering the unique choice of a representative of the class  $[\sum x_a 1 \otimes \eta_a]$  in  $E_{p,q}^3$  whose boundary (3) defines a class in  $E_{p-3,q+2}^3$ .

The differential  $d^4 : E_{p,q}^4 \rightarrow E_{p-4,q+3}^4$  is again trivial, and we obtain the  $E_{pq}^5$  of the form

$$\begin{array}{cccccccc}
\cdots & 0 & 0 & 0 & 0 & \mathbb{Z}.\Omega^2 \otimes 1_\theta & 0 & 0 & \cdots \\
\cdots & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\
\cdots & 0 & 0 & d^5 & 0 & 0 & T_1 & 0 & \cdots \\
\cdots & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\
HF_5^{SW} & HF_4^{SW} & Ker(\Delta_3) & HF_2^{SW} & Ker(\Delta_1) & HF_0^{SW} \oplus T_0 & HF_{-1}^{SW} & HF_{-2}^{SW} & \cdots
\end{array}$$

where again we denote by  $T_1$  the term

$$T_1 = \mathbb{Z}.\Omega \otimes 1_\theta / \text{Im}(\Delta_3).$$

Thus, by iterating the process, we observe that all the differentials  $d^{2k} : E_{p,q}^{2k} \rightarrow E_{p-2k,q+2k+1}^{2k}$  are trivial and the differentials  $d^{2k+1} : E_{p,q}^{2k+1} \rightarrow E_{p-2k-1,q+2k}^{2k+1}$  consists of one map for  $p = 2k + 1$ ,  $q = 0$ :

$$\Delta_{2k+1} : HF_{2k+1}^{SW} \rightarrow \mathbb{Z}.\Omega^k \otimes 1_\theta,$$

induced by

$$\Delta_{2k+1}([\sum x_a 1 \otimes \eta_a]) = \sum x_a m_{aa_{2k-1}} m_{a_{2k-1}a_{2k-3}} \cdots m_{a_3 a_1} n_{a_1 \theta} \Omega^k \otimes 1_\theta.$$

Here we have  $\text{gr}(a) = 2k + 1$  and  $\text{gr}(a_r) = r$ . Notice that these maps agree with the morphism  $\Delta_*$ , which is obtained in [5] as the connecting homomorphism in the long exact sequence relating equivariant and non-equivariant Seiberg–Witten Floer homologies.

We thus obtain the following structure theorem for equivariant Seiberg–Witten Floer homology.

**Theorem 3.4.** *The equivariant Seiberg–Witten Floer homology  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  has the form*

$$HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) = \begin{cases} \text{Ker}(\Delta_{2k+1}) & * = 2k + 1 > 0 \\ HF_{2k}^{SW}(Y, \mathfrak{s}) \oplus T_k & * = 2k \geq 0 \\ HF_*^{SW}(Y, \mathfrak{s}) & * < 0 \end{cases}$$

where  $T_k$  is the term

$$T_k = \mathbb{Z} \cdot \Omega^k \otimes 1_\theta / \text{Im}(\Delta_{2k+1}).$$

This result refines the long exact sequence obtained in [5]:

$$\begin{array}{ccc} HF_{*,U(1)}^{SW}(Y, \mathfrak{s}) & \xrightarrow{i_*} & HF_*^{SW}(Y, \mathfrak{s}, g, \nu) \\ j_* \uparrow & \swarrow \Delta_* & \\ \mathbb{Z}[\Omega] & & \end{array}$$

Similar results can be obtained for  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$ .

### 3.3 Topological invariance

Note that the definitions of these homologies depend on the Seiberg–Witten equations, which use the metric and perturbation on  $(Y, \mathfrak{s})$ . By the result of [5], we know that  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \cong HF_{*,U(1)}^{SW}(Y, \mathfrak{s})$  is a topological invariant of  $(Y, \mathfrak{s})$ , we first recall this topological invariance as stated in Theorem 6.1 [5].

**Theorem 3.5.** *(Theorem 6.1 [5]) Let  $(Y, \mathfrak{s})$  be a rational homology sphere with a  $\text{Spin}^c$  structure. Suppose given two metrics  $g_0$  and  $g_1$  on  $Y$  and perturbations  $\nu_0$  and  $\nu_1$  such that  $\text{Ker}(\partial_{\nu_0}^{g_0}) = \text{Ker}(\partial_{\nu_1}^{g_1}) = 0$ , so that the corresponding monopole moduli spaces  $\mathcal{M}_Y(\mathfrak{s}, g_0, \nu_0)$  and  $\mathcal{M}_Y(\mathfrak{s}, g_1, \nu_1)$  consist of finitely many isolated points. Then there exists an isomorphism between the equivariant Seiberg–Witten Floer homologies  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s}, g_0, \nu_0)$  and  $HF_{*,U(1)}^{SW}(Y, \mathfrak{s}, g_1, \nu_1)$ , with a degree shift given by the spectral flow of the Dirac operator  $\partial_{\nu_t}^{g_t}$  along a path of metrics and perturbations connecting  $(g_0, \nu_0)$  and  $(g_1, \nu_1)$ . That is, if the complex spectral flow along the path  $(g_t, \nu_t)$  is denoted by  $SF_{\mathbb{C}}(\partial_{\nu_t}^{g_t})$ , then for any  $k \in \mathbb{Z}$ ,*

$$HF_{k,U(1)}^{SW}(Y, \mathfrak{s}, g_0, \nu_0) \cong HF_{k+2SF_{\mathbb{C}}(\partial_{\nu_t}^{g_t}),U(1)}^{SW}(Y, \mathfrak{s}, g_1, \nu_1).$$

From Theorem 3.1, we know that

$$HF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \cong \mathbb{Z}[\Omega, \Omega^{-1}]$$

is independent of  $(Y, \mathfrak{s})$ , up to a degree shift as given in Theorem 3.5. Thus, applying the five lemma to the long exact sequence in Theorem 3.3, we obtain that  $HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$  and  $HF_{red,*}^{SW}(Y, \mathfrak{s})$  are also topological invariants of  $(Y, \mathfrak{s})$ .

**Theorem 3.6.**  *$HF_{*,U(1)}^{SW,-}(Y, \mathfrak{s})$  and  $HF_{red,*}^{SW}(Y, \mathfrak{s})$  are topological invariants of  $(Y, \mathfrak{s})$ , in the sense that, given any two metrics  $g_0$  and  $g_1$  on  $Y$  and perturbations  $\nu_0$  and  $\nu_1$ , with  $Ker(\not\partial_{\nu_0}^{g_0}) = Ker(\not\partial_{\nu_1}^{g_1}) = 0$ , there exist isomorphisms*

$$\begin{aligned} HF_{k,U(1)}^{SW,-}(Y, \mathfrak{s}, g_0, \nu_0) &\cong HF_{k+2SF_{\mathbb{C}}(\not\partial_{\nu_t}^{g_t}),U(1)}^{SW,-}(Y, \mathfrak{s}, g_1, \nu_1) \\ HF_{red,k}^{SW}(Y, \mathfrak{s}, g_0, \nu_0) &\cong HF_{red,k+2SF_{\mathbb{C}}(\not\partial_{\nu_t}^{g_t})}^{SW}(Y, \mathfrak{s}, g_1, \nu_1). \end{aligned}$$

Here  $SF_{\mathbb{C}}(\not\partial_{\nu_t}^{g_t})$  denotes the complex spectral flow of the Dirac operator  $\not\partial_{\nu_t}^{g_t}$  along the path  $(g_t, \nu_t)$ .

## 4 Properties of equivariant Seiberg-Witten Floer homologies

In this section, we briefly discuss some of the algebraic structures and properties of the equivariant Seiberg-Witten Floer homologies defined in the previous section.

Note that for any irreducible critical points  $a$  and  $b$  in  $\mathcal{M}_Y^*(\mathfrak{s})$ , the associated integer  $m_{ac}$  is the counting of points in the geometric representative of the relative first Chern class of the canonical line bundle (7) over  $\mathcal{M}(a, c)$ , we can apply this fact to define a  $u$ -action on the chain complex  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$

$$u : CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \longrightarrow CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$$

which decreases the grading by two. The action is given in terms of its actions on generators as follows:

$$\begin{aligned} u(\Omega^n \otimes \eta_a) &= \sum_{\substack{c \in \mathcal{M}^*(Y, \mathfrak{s}) \\ gr(a) - gr(c) = 2}} m_{ac} \Omega^n \otimes \eta_c. \\ u(\Omega^n \otimes 1_a) &= \begin{cases} \sum_{\substack{c \in \mathcal{M}^*(Y, \mathfrak{s}) \\ gr(a) - gr(c) = 2}} m_{ac} \Omega^n \otimes 1_c & \text{if } gr(a) \neq 1 \\ \sum_{\substack{c \in \mathcal{M}^*(Y, \mathfrak{s}) \\ gr(c) = -1}} m_{ac} \Omega^n \otimes 1_c + n_{a\theta} \Omega^n \otimes 1_\theta & \text{if } gr(a) = 1 \end{cases} \\ u(\Omega^n \otimes 1_\theta) &= \sum_{\substack{d \in \mathcal{M}_Y^*(\mathfrak{s}) \\ gr(d) = -2}} n_{\theta d} \Omega^n \otimes \eta_d + \Omega^{n-1} \otimes 1_\theta. \end{aligned} \tag{14}$$

**Proposition 4.1.** *The  $u$ -action defined (14) on the chain complex  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  is homotopic to the  $\Omega^{-1}$ -action acting on  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$ . The induced actions on  $CF_{*,U(1)}^{SW,\pm}(Y, \mathfrak{s})$  define  $\mathbb{Z}[u]$ -module structures on  $HF_{*,U(1)}^{SW,\pm}(Y, \mathfrak{s})$ .*

**Proof.** Define  $H : CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \longrightarrow CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  by its actions on the generators as follows:

$$\begin{aligned} H(\Omega^n \otimes \eta_a) &= 0, \\ H(\Omega^n \otimes 1_a) &= \Omega^n \otimes \eta_a, \\ H(\Omega^n \otimes 1_\theta) &= 0. \end{aligned}$$

Then it is a direct calculation to show that we have:

$$\begin{aligned} (u - \Omega^{-1})(\Omega^k \otimes \eta_a) &= m_{ac}\Omega^k \otimes \eta_c - \Omega^{k-1} \otimes \eta_a = (DH + HD)(\Omega^k \otimes \eta_a) \\ (u - \Omega^{-1})(\Omega^k \otimes 1_a) &= m_{ac}\Omega^k \otimes 1_c - \Omega^{k-1} \otimes 1_a (+n_{a\theta}\Omega^k \otimes 1_\theta \text{ if } \text{gr}(a) = 1) = (DH + HD)(\Omega^k \otimes 1_a), \\ (u - \Omega^{-1})(\Omega^k \otimes 1_\theta) &= n_{\theta d}\Omega^k \otimes \eta_d = (DH + HD)(\Omega^k \otimes 1_\theta). \end{aligned}$$

Thus the claim follows using the chain homotopy  $u - \Omega^{-1} = D \circ H + H \circ D$ .

□

Thus, on the homological level, we can identify the  $u$ -action with the induced  $\Omega^{-1}$  action on various homologies. In particular, we see that there is a subcomplex  $\widehat{CF}_*^{SW}(Y, \mathfrak{s})$  of  $CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  such that the following short exact sequence of chain complexes holds:

$$0 \rightarrow \widehat{CF}_*^{SW}(Y, \mathfrak{s}) \longrightarrow CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \xrightarrow{\Omega^{-1}} CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \rightarrow 0. \quad (15)$$

**Proposition 4.2.** *Let  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  be the homology of  $\widehat{CF}_*^{SW}(Y, \mathfrak{s})$ , then  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  is also a topological invariant of  $(Y, \mathfrak{s})$ , and it is determined by the following long exact sequence*

$$\cdots \rightarrow \widehat{HF}_*^{SW}(Y, \mathfrak{s}) \longrightarrow HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \xrightarrow{u} HF_{*,-2,U(1)}^{SW,+}(Y, \mathfrak{s}) \longrightarrow \widehat{HF}_{*,-1}^{SW}(Y, \mathfrak{s}) \rightarrow \cdots$$

Moreover,  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  is non-trivial if and only if  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  is non-trivial.

**Proof.** The long exact sequence follows from the short exact sequence of chain complexes (15) and Proposition 4.1. This long exact sequence implies that  $\widehat{HF}_*^{SW}(Y, \mathfrak{s})$  is also a topological invariant of  $(Y, \mathfrak{s})$ .

Note that, from the compactness of  $\mathcal{M}_Y(\mathfrak{s})$ , we see that each element in  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  can be annihilated by a sufficiently large power of  $\Omega^{-1}$ . Hence,  $u$  is an isomorphism on  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  if and only if  $HF_{*,U(1)}^{SW,+}(Y, \mathfrak{s})$  is trivial. Then the last claim follows from this observation and the long exact sequence.  $\square$

If we think of the set of  $\text{Spin}^c$  structures on  $Y$  as the set of equivalence classes of nowhere vanishing vector fields on  $Y$  (Cf.[9]), then there is a natural bijection between  $\text{Spin}^c(Y)$  and  $\text{Spin}^c(-Y)$  where  $-Y$  is the same  $Y$  with the opposite orientation.

**Theorem 4.3.** *Let  $(Y, \mathfrak{s})$  be a rational homology 3-sphere with a  $\text{Spin}^c$  structure  $\mathfrak{s}$ , and  $(-Y, \mathfrak{s})$  denote  $Y$  with the opposite orientation and the corresponding  $\text{Spin}^c$  structure. Then there is a natural isomorphism*

$$HF_{U(1)}^{SW,*}(Y, \mathfrak{s}) \cong HF_{*,U(1)}^{SW,-}(-Y, \mathfrak{s})$$

where  $HF_{U(1)}^{SW,*}(Y, \mathfrak{s})$  is the equivariant Seiberg-Witten-Floer cohomology defined in [5].

**Proof.** Note that  $HF_{U(1)}^{SW,*}(Y, \mathfrak{s})$  is the homology of the dual complex  $\text{Hom}(CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}), \mathbb{Z})$ . We start to construct a natural pairing

$$\langle \cdot, \cdot \rangle : CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \times CF_{*,U(1)}^{SW,\infty}(-Y, \mathfrak{s}) \longrightarrow \mathbb{Z} \quad (16)$$

which satisfies

$$\langle D_Y(\xi_1), \xi_2 \rangle = \langle \xi_1, D_{-Y}(\xi_2) \rangle, \quad \langle \Omega^{-1}(\xi_1), \xi_2 \rangle = \langle \xi_1, \Omega^{-1}(\xi_2) \rangle. \quad (17)$$

for any element  $\xi_1 \in CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s})$  and any element  $\xi_2 \in CF_{*,U(1)}^{SW,\infty}(-Y, \mathfrak{s})$ .

Then we will show that the above pairing is non-degenerate when restricted to  $CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \times CF_{*,U(1)}^{SW,-}(-Y, \mathfrak{s})$ .

From the nature of the Seiberg-Witten equations, we see that there is an identification

$$\mathcal{M}_Y(\mathfrak{s}) \rightarrow \mathcal{M}_{-Y}(\mathfrak{s})$$

for a good pair of metric and perturbation on  $(Y, \mathfrak{s})$  and the corresponding metric and perturbation on  $(-Y, \mathfrak{s})$ . Then the relative gradings with respect to the unique reducible monopole in  $\mathcal{M}_Y(\mathfrak{s})$  and



$\mathcal{M}_{-Y}(\mathfrak{s})$  respectively, satisfies

$$gr_{-Y}(a^-) = -gr_Y(a) - 1,$$

where  $a^-$  is the element in  $\mathcal{M}_{-Y}^*(\mathfrak{s})$  corresponding to  $a \in \mathcal{M}_Y^*(\mathfrak{s})$ , we assume that  $gr_Y(\theta) = gr_{-Y}(\theta^-)$ . Moreover, there is a natural identification between the moduli spaces of flowlines for  $(Y, \mathfrak{s})$  and  $(-Y, \mathfrak{s})$ , that is,

$$\mathcal{M}_{Y \times \mathbb{R}}(a, b) \cong \mathcal{M}_{-Y \times \mathbb{R}}(b^-, a^-).$$

Now we define the pairing on  $CF_{*,U(1)}^{SW,\infty}(Y, \mathfrak{s}) \times CF_{*,U(1)}^{SW,\infty}(-Y, \mathfrak{s})$  such that the following pairings are the only non-trivial pairings:

$$\langle \Omega^n \otimes \eta_a, \Omega^{-n-1} \otimes 1_{a^-} \rangle = 1$$

$$\langle \Omega^n \otimes 1_a, \Omega^{-n-1} \otimes \eta_{a^-} \rangle = 1$$

$$\langle \Omega^n \otimes 1_\theta, \Omega^{-n-1} \otimes 1_{\theta^-} \rangle = 1.$$

It is a direct calculation to show that this pairing satisfies the relation (17) and the restriction of this pairing to  $CF_{*,U(1)}^{SW,+}(Y, \mathfrak{s}) \times CF_{*,U(1)}^{SW,-}(-Y, \mathfrak{s})$  is non-degenerate. Then the claim follows from the definition.  $\square$

Let  $\widehat{HF}^{SW,*}(Y, \mathfrak{s})$  and  $HF_{\pm, U(1)}^{SW,*}(Y, \mathfrak{s})$  denote the homology groups of the dual complexes  $Hom(\widehat{CF}_*^{SW}(Y, \mathfrak{s}), \mathbb{Z})$  and  $Hom(CF_{*,U(1)}^{SW,\pm}(Y, \mathfrak{s}), \mathbb{Z})$  of  $\widehat{CF}_*^{SW}(Y, \mathfrak{s})$  and  $CF_{*,U(1)}^{SW,\pm}(Y, \mathfrak{s})$  respectively. From the proof of the above Theorem 4.3, we actually establish the following duality between these homologies.

**Theorem 4.4.** *For any rational homology 3-sphere  $Y$  with a spinc structure  $\mathfrak{s}$ , there exist natural isomorphisms*

$$\widehat{HF}^{SW,*}(Y, \mathfrak{s}) \cong \widehat{HF}_*^{SW}(-Y, \mathfrak{s}), \quad HF_{\pm, U(1)}^{SW,*}(Y, \mathfrak{s}) \cong HF_{*, U(1)}^{SW,\mp}(-Y, \mathfrak{s}). \quad (18)$$

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