# A few simple perspectives on Fourier uncertainty 

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- The precise formulation depends on context, but some version of this idea is present in every problem where the Fourier transform is involved.
- One of our key points of emphasis today is connections between Fourier uncertainty and exact signal recovery.


## Restriction Conjecture

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(Restriction conjecture) The restriction conjecture says that if $S$ is the unit sphere, then

$$
\left(\int_{S}|\widehat{f}(\xi)|^{r} d \sigma_{S}(\xi)\right)^{\frac{1}{r}} \leq C_{p, r}\left(\int_{\mathbb{R}^{d}}|f(x)|^{p} d x\right)^{\frac{1}{p}}
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whenever

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p<\frac{2 d}{d+1}, r \leq \frac{d-1}{d+1} p^{\prime}
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where $p^{\prime}$ is the conjugate exponent to $p$.

- This conjecture is solved in two dimensions and in spite of a lot of brilliant work by Bourgain, Guth, Ou, Stein, Tao, Tomas, Wang and many others, the problem is still open in higher dimensions.


## A signal recovery perspective on restriction

- Suppose that $A$ is a compact set in $\mathbb{R}^{d}, d \geq 2,|A|>0$, and $\widehat{\chi}_{A}(\xi)$ is known except for $\xi \in S^{\delta}$, the annulus of radius 1 and thickness $\delta$ (small). Can we recover $\chi_{A}(x)$ exactly?


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\begin{gathered}
\chi_{A}(x)=\int e^{2 \pi i x \cdot \xi} \widehat{\chi}_{A}(\xi) d \xi \\
=\int_{\xi \notin S^{\delta}}+\int_{\xi \in S^{\delta}}=I(x)+I I(x) .
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\end{aligned}
$$

- By assumption, we have no information about $I(x)$, so we must estimate it and hope for the best.


## Applying the conjectured restriction inequality

- By Holder, if the restriction theorem holds with exponents $(p, r)$, then

$$
|I I(x)| \leq\left|S^{\delta}\right| \cdot\left(\frac{1}{\left|S^{\delta}\right|} \int_{S^{\delta}}\left|\widehat{\chi}_{A}(\xi)\right|^{r} d \xi\right)^{\frac{1}{r}} \leq C_{p, r} \cdot\left|S^{\delta}\right| \cdot|A|^{\frac{1}{p}}
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- If the right hand side is $<\frac{1}{2}$, i.e if $|A| \lesssim \delta^{-p}$ with suitable constants, then we can take the modulus of $I(x)$ and round it up to 1 , or down to 0 , whichever is closer, and thus recover $\chi_{A}(x)$ is exactly.


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- For any $r$, the restriction theorem always holds for $p=1$, but according to the restriction conjecture, it holds for any

$$
p<\frac{2 d}{d+1}
$$

which gives us a much less stringent recovery condition.

## Another version of the uncertainty principle

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- Suppose that $f \in L_{\text {loc }}^{1}\left(\mathbb{R}^{d}\right)$ and $\widehat{f}$ is supported in $S$ is a $k$-dimensional submnaifold of $\mathbb{R}^{d}$. Suppose further that $f \in L^{p}\left(\mathbb{R}^{d}\right)$ for some $p \leq \frac{2 d}{k}$. Then $f \equiv 0$.


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- A natural question is whether the exponent $\frac{2 d}{k}$ is sharp, and what does it have to with restriction theory?
- After all, if $k=d-1$ and $S$ is the unit sphere, $\frac{2 d}{d-1}$ is the sharp conjectured exponent for the dual of the restriction conjecture.


## Space curves

## Theorem

(S. Guo, A. losevich, R. Zhang, and P. Zorich-Kranich (2023)) Let $d \geq 2$ be a positive integer and suppose that $1 \leq p<\frac{d^{2}+d+2}{2}$. If $f \in L^{p}\left(\mathbb{R}^{d}\right)$ and $\widehat{f}$ is supported on

$$
\left\{\left(t, t^{2}, \ldots, t^{d}\right): t \in(0,1)\right\}
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then $f \equiv 0$. The exponent $\frac{d^{2}+d+2}{2}$ is best possible, up to the endpoint. Moreover, the conclusion is still valid for small perturbations of this curve.

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- Note that the Agranovsky-Narayanan theorem yields the same conclusion for $p<2 d$ in this case.
- We also note that $\frac{d^{2}+d+2}{2}$ is the optimal extension exponent.


## Finite Signals and Discrete Fourier transform

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- Let $f$ be a signal of finite length, i.e

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- Suppose that $f$ is transmitted via its Fourier transforms, with

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$$

- Fourier Inversion says that we can reconstruct (or recover) the signal completely by using the Fourier inversion:

$$
f(x)=\sum \chi(x \cdot m) \widehat{f}(m) .
$$

## Exact recovery problem

- The basic question is, can we still recover $f$ exactly from its discrete Fourier transforms if

$$
\{\widehat{f}(m): m \in S\}
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are unobserved (or missing due to noise, other interference, or security), for some $S \subset \mathbb{Z}_{N}^{d}$ ?

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- The answer turns out to be YES if $f$ is supported in $E \subset \mathbb{Z}_{N}^{d}$, and

$$
|E| \cdot|S|<\frac{N^{d}}{2}
$$

with the main tool being the Fourier Uncertainty Principle (FUP).

## An elementary point of view: setup

- Suppose that $E \subset \mathbb{Z}_{N}^{d}$ and $f(x)=E(x)$, the indicator function of $E$.


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\begin{gathered}
E(x)=\sum_{m \in \mathbb{Z}_{N}^{d}} \chi(x \cdot m) \widehat{E}(m) \\
=\sum_{m \notin S} \chi(x \cdot m) \widehat{E}(m)+\sum_{m \in S} \chi(x \cdot m) \widehat{E}(m)=I(x)+I I(x) .
\end{gathered}
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## An elementary point of view: Cauchy-Schwarz

- By Cauchy-Schwarz,

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- Extending the sum in $S$ over the sum in $\mathbb{Z}_{N}^{d}$ and applying Plancherel, we see that this expression is bounded by

$$
|S|^{\frac{1}{2}} \cdot N^{-\frac{d}{2}} \cdot|E|^{\frac{1}{2}} .
$$

## An elementary point of view: rounding

- If

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we can take the modulus of $I(x)$ and round it up to 1 if it is $\geq \frac{1}{2}$, and round it down to 0 otherwise.

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- This gives us exact recovery using a simple and direct argument if

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- But what happens if we consider general signals?


## Donoho-Stark point of view

- Suppose that $h: \mathbb{Z}_{N} \rightarrow \mathbb{C}$ has $N_{t}$ non-zero values, and its Fourier transform $\widehat{h}$ has $N_{w}$ non-zero entries. Then the classical Uncertainty Principle says that

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- Suppose that $f: \mathbb{Z}_{N} \rightarrow \mathbb{C}$ is supported in $E \subset \mathbb{Z}_{N}$, with the frequencies in $S \subset \mathbb{Z}_{N}$ unobserved.
- If $f$ cannot be recovered uniquely, then there exists a signal $g: \mathbb{Z}_{N} \rightarrow \mathbb{C}$ such that $g$ also has $N_{t}$ non-zero entries,

$$
\widehat{f}(m)=\widehat{g}(m) \text { for } m \notin S
$$

and $f$ is not identically equal to $g$.

## Uncertainty Principle (UP) $\rightarrow$ Unique Recovery

- Let $h=f-g$. It is clear that $\widehat{h}$ has at most $N_{w}$ non-zero entries, and $h$ has at most $2 N_{t}$ non-zero entries.


## Uncertainty Principle (UP) $\rightarrow$ Unique Recovery

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- Therefore, if

$$
N_{t} \cdot N_{w}<\frac{N}{2}
$$

we must have $h=0$, and hence the recovery is unique.

## An elementary proof of the (finite) Uncertainty Principle

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- By Cauchy-Schwarz, Plancherel, and the fact that $f$ is supported on E,

$$
\begin{gathered}
|f(x)|^{2} \leq|S| \cdot \sum_{m \in S}|\widehat{f}(m)|^{2} \\
=|S| \cdot \sum_{m \in \mathbb{Z}_{N}^{d}}|\widehat{f}(m)|^{2}=|S| \cdot N^{-d} \cdot \sum_{x \in E}|f(x)|^{2}
\end{gathered}
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## Conclusion of the proof of FUP

- Summing both sides over $E$ and dividing by $\sum_{x \in E}|f(x)|^{2}$, we get

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|E| \cdot|S| \geq N^{d}, \quad \text { (the classical Uncertainty Principle). }
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- In general, we cannot do better, but in most cases we can. This, in essence, is the main thrust of this talk.


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- An immediate question that arises is whether this inequality can be improved.
- In general, we cannot do better, but in most cases we can. This, in essence, is the main thrust of this talk.
- Some stronger uncertainty principles that depend on the arithmetic properties of $N$ have been obtained by Tao and Meshulam. We shall briefly discuss those in a moment.


## FUP is, in general, sharp

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- Since $|S| \cdot\left|S^{\perp}\right|=N^{d}$, the FUP is sharp.
- However, there are very few situations of this type, and it is possible to classify them, though we will not do it here.
- We will see that in most cases, we can do much better, and the key mechanism we are going to utilize is restriction theory.


## Restriction theory enters the picture

- We say that $S \subset \mathbb{Z}_{N}^{d}$ satisfies the $(p, q)$ restriction estimate $(1 \leq p \leq q)$ with uniform constant $C_{p, q}>0$ if for any function $f: \mathbb{Z}_{N}^{d} \rightarrow \mathbb{C}$,

$$
\left(\frac{1}{|S|} \sum_{m \in S}|\widehat{f}(m)|^{q}\right)^{\frac{1}{q}} \leq C_{p, q} N^{-d}\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{p}\right)^{\frac{1}{p}}
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## Theorem ( Uncertainty Principle via Restriction Theory - A.I. \& A.Mayeli, 2023)

Suppose that $f, \widehat{f}: \mathbb{Z}_{N}^{d} \rightarrow \mathbb{C}$, with $f$ supported in $E \subset \mathbb{Z}_{N}^{d}$, and $\widehat{f}$ supported in $S \subset \mathbb{Z}_{N}^{d}$. Suppose $S$ satisfies the $(p, q)$ restriction estimate with norm $C_{p, q}$. Then

$$
|E|^{\frac{1}{p}} \cdot|S| \geq \frac{N^{d}}{C_{p, q}}
$$

## A stronger (usually) restriction mechanism

## Theorem ( Uncertainty Principle via Restriction Theory - A.I. \& A.Mayeli, 2024)

Suppose that $f: \mathbb{Z}_{N}^{d} \rightarrow \mathbb{C}$ is supported in $E \subset \mathbb{Z}_{N}^{d}$, and $\hat{f}: \mathbb{Z}_{N}^{d} \rightarrow \mathbb{C}$ is supported in $S \subset \mathbb{Z}_{N}^{d}$. Suppose $S$ satisfies the $(p, q)$ restriction estimate with norm $C_{p, q}, 1 \leq p \leq q, p \leq 2$.
i) If $q \geq 2$, then

$$
|E|^{\frac{2-p}{p}} \cdot|S| \geq \frac{N^{d}}{C_{p, q}^{2}}
$$

ii) If $1<q<2$, then

$$
|E|^{\frac{\left(q^{\prime}-p\right) q}{q^{\prime} p}} \cdot|S| \geq \frac{N^{d}}{C_{p, q}^{q}}
$$

## From Restriction to Exact Recovery

## Corollary

Let $f: \mathbb{Z}_{N}^{d} \rightarrow \mathbb{C}$ with support supp $(f)=E$. Let $r$ be another signal with support of the same size such that $\widehat{r}(m)=\widehat{f}(m)$ for $m \notin S$, and 0 otherwise. Suppose $S \subset \mathbb{Z}_{N}^{d}$ satisfies the $(p, q), p<2$, restriction estimate with uniform constant $C_{p, q}$. Then $f$ can be reconstructed from $r$ uniquely if

$$
|E|^{\frac{1}{p}} \cdot|S|<\frac{N^{d}}{2^{\frac{1}{p}} C_{p, q}},
$$

or if

$$
|E|^{\frac{2-p}{p}} \cdot|S|<\frac{N^{d}}{2^{\frac{2-p}{p}} C_{p, q}^{2}} \text { when } q \geq 2
$$

and

$$
|E|^{\frac{\left(q^{\prime}-p\right) q}{q^{\prime} p}} \cdot|S|<\frac{N^{d}}{2^{\frac{\left(q^{\prime}-p\right) q}{q^{\prime} p}} C_{p, q}^{q}} \text { when } q \leq 2 .
$$

## From additive energy to restriction

## Theorem (A.I. \& A. Mayeli, 2023)

Let $S \subset \mathbb{Z}_{N}^{d}$ with the property that

$$
|S|=\Lambda_{\text {size }} N^{\frac{d}{2}}
$$

and

$$
\left|\left\{\left(x, y, x^{\prime}, y^{\prime}\right) \in U: x+y=x^{\prime}+y^{\prime}\right\}\right| \leq \Lambda_{\text {energy }} \cdot|U|^{2}
$$

for every $U \subset S$.
Then $S$ satisfies $\left(\frac{4}{3}, 2\right)$ restriction with $C_{p, q}=\Lambda_{\text {size }}^{-\frac{1}{2}} \cdot \Lambda_{\text {energy }}^{\frac{1}{4}}$, i.e

$$
\left(\frac{1}{|S|} \sum_{m \in S}|\widehat{f}(m)|^{2}\right)^{\frac{1}{2}} \leq \Lambda_{\text {size }}^{-\frac{1}{2}} \cdot \Lambda_{\text {energy }}^{\frac{1}{4}} \cdot N^{-d}\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}}
$$

## Bourgain's $\Lambda_{q}$ theorem - general formulation

- Jean Bourgain proved that if $G$ is a locally compact abelian group, $\phi_{1}, \ldots, \phi_{n}$ are orthogonal functions with $\left\|\phi_{j}\right\|_{\infty} \leq 1$, the for a generic set $S \subset\{1,2, \ldots, n\}$ of size $\approx n^{\frac{2}{q}}, q>2$,

$$
\left\|\sum_{i \in S} a_{i} \phi_{i}\right\|_{L^{q}(G)} \leq C(q) \cdot\left(\sum_{i \in S}\left|a_{i}\right|^{2}\right)^{\frac{1}{2}}
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where $C(q)$ depends only on $q$.

- As we shall see, this result has a beautiful built-in uncertainty principle.


## Bourgain's $\Lambda_{q}$ theorem

- It is a consequence of Bourgain's celebrated $\Lambda_{p}$ theorem in locally compact abelian groups that if $f: \mathbb{Z}_{N}^{d} \rightarrow \mathbb{C}$ and $\widehat{f}$ is supported in $S$, then for a "generic" set of size $\approx N^{\frac{2 d}{q}}, 2<q<\infty$,

$$
\left(\frac{1}{N^{d}} \sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{q}\right)^{\frac{1}{q}} \leq K_{q}(S)\left(\frac{1}{N^{d}} \sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{2}\right)^{\frac{1}{2}},
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with $K_{q}(S)$ independent of $N$.

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$$

with $K_{q}(S)$ independent of $N$.

- For such a set $S$ it follows by duality that

$$
\left(\frac{1}{|S|} \sum_{m \in S}|\widehat{f}(m)|^{2}\right)^{\frac{1}{2}} \leq C_{p, 2} N^{-d}\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{p}\right)^{\frac{1}{p}}, \text { with } p=q^{\prime}
$$

## A direct consequence of Bourgain's $\Lambda_{q}$ theorem

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$$
\begin{gathered}
N^{-\frac{d}{q}} \cdot|E|^{\frac{1}{q}}\left(\frac{1}{|E|} \sum_{x \in E}|f(x)|^{q}\right)^{\frac{1}{q}} \\
\leq K_{q}(S) N^{-\frac{d}{2}} \cdot|E|^{\frac{1}{2}}\left(\frac{1}{|E|} \sum_{x \in E}|f(x)|^{2}\right)^{\frac{1}{2}} .
\end{gathered}
$$

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- It follows that

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|E| \geq \frac{N^{d}}{\left(K_{q}(S)\right)^{\frac{1}{2}-\frac{1}{q}}} .
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- This shows that Bourgain's $\Lambda_{q}$ theorem implies that if $\widehat{f}$ is supported in a generic set of size $\approx N^{d-\epsilon}$, for some $\epsilon>0$, then $f$ is supported on a positive proportion of $\mathbb{Z}_{N}^{d}$.


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- Consequently, if we send a signal $f$ supported on a set of size $o\left(N^{d}\right)$ via its Fourier transform, and the frequencies in a generic $S \subset \mathbb{Z}_{N}^{d}$ are missing, we can recover $f$ exactly with very high probability.


## Arithmetic matters

- In 2006, Terry Tao proved that if $f: \mathbb{Z}_{p} \rightarrow \mathbb{C}, p$ prime, $f$ is supported in $E$ and $\widehat{f}$ is supported in $S$, then

$$
|E|+|S| \geq p+1
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- The key element of the proof is a classical theorem due to Cebotarev which says that if $A, B \subset \mathbb{Z}_{p},|A|=|B|$, then

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\operatorname{det}\{\chi(x m)\}_{x \in A, m \in B} \neq 0, \text { where } \chi(t)=e^{\frac{2 \pi i t}{p}}
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- Roy Meshulam used Tao's result and a beautiful iteration argument show that if $f: \mathbb{Z}_{p}^{d} \rightarrow \mathbb{C}$ is supported in $E$ and $\widehat{f}$ is supported in $S$, then for $0 \leq j \leq d-1$,

$$
p^{j}|E|+p^{d-j-1}|S| \geq p^{d}+p^{d-1}
$$

## More arithmetic

## Lemma

(A.I., A. Mayeli, and J. Pakianathan (2017)) [Magic Lemma] Suppose that $f: \mathbb{Z}_{p}^{2} \rightarrow \mathbb{Q}$, p odd prime. Suppose that $\widehat{f}(m)=0$ for some $m \neq(0,0)$.
Then $\widehat{f}(r m)=0$ for all $r \neq 0$. Moreover, if $f(x)=E(x)$, the indicator function of $E \subset \mathbb{Z}_{p}^{2}$, and $\widehat{E}(m)=0$ for some $m \neq(0,0)$, then $E$ is equidistributed on the $p$ lines orthogonal to $m$.

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- Suppose that $\widehat{E}(m)=0$, as above, with $m \neq(0,0)$ and let $r \neq 0$. We have

$$
\widehat{E}(r m)=p^{-2} \sum_{t} \zeta^{\frac{t}{r}} n(t / r)=p^{-2} \sum_{t} \zeta^{t} n(t)=0 .
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$$

- It follows that if $m \neq(0,0)$ is a zero of $\widehat{E}$, then so is every non-zero multiple of $m$.


## Magic Lemma demystified

- Observe that

$$
0=\sum_{t} \zeta^{t} n(t)=n(0)+n(1) \zeta+n(2) \zeta^{2}+\cdots+n(p-1) \zeta^{p-1}
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says that $\zeta$ satisfies the polynomial of degree $p-1$ with coefficients given by $\{n(t)\}$.

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- The minimal polynomial of $\zeta$ is

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- We conclude that $n(t)=$ constant, so $E$ has the same number of points on lines $\perp \mathrm{m}$. In particular, $|E|$ is a multiple of $p$.


## FUP consequence of the Magic Lemma

- It is not difficult to see that if $f: \mathbb{Z}_{p}^{2} \rightarrow \mathbb{Q}$ and $\widehat{f}$ vanishes on a random set $S$ with $|S|=o\left(p^{2}\right)$, then with high probability, $f$ is supported on all of $\mathbb{Z}_{p}^{2}$.


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- The point is that it is highly unlikely that a randomly chosen set $S$ of size $o\left(p^{2}\right)$ contains a full line through the origin with the origin removed.


## Summary of connections



## Proof of Energy $\rightarrow$ Restriction

- We have

$$
\begin{gathered}
\sum_{m \in S}|\widehat{f}(m)|^{2}=\sum_{m}|\widehat{f}(m)|^{2} S(m) \\
=\sum_{m} \widehat{f}(m) S(m) g(m)
\end{gathered}
$$

where

$$
g(m)=\overline{\hat{f}(m)} S(m)
$$

By definition of the Fourier transform, the right-hand side is equal to

$$
\begin{gathered}
N^{-d} \sum_{m} \sum_{x} \chi(-x \cdot m) f(x) S(m) g(m) \\
=\sum_{x} f(x) \widehat{g S}(x)
\end{gathered}
$$

## Proof of Additive Energy $\rightarrow$ Restriction (continued)

- By Holder's inequality, the quantity above is bounded by

$$
\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|\widehat{g S}(x)|^{4}\right)^{\frac{1}{4}} .
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$$

- Continuing, we have

$$
\begin{gathered}
\sum_{x \in \mathbb{Z}_{N}^{d}}|\widehat{g S}(x)|^{4} \\
=N^{-4 d} \sum_{x} \sum_{m_{1}, m_{2}, m_{3}, m_{4} \in S} \chi\left(x \cdot\left(m_{1}+m_{2}-m_{3}-m_{4}\right)\right) \prod_{i=1}^{4} g\left(m_{i}\right) \\
=N^{-3 d} \sum_{m_{1}+m_{2}=m_{3}+m_{4} ; m_{j} \in S} g\left(m_{1}\right) g\left(m_{2}\right) g\left(m_{3}\right) g\left(m_{4}\right) .
\end{gathered}
$$

## Proof of Energy $\rightarrow$ Restriction (continued)

- The modulus of this expression is bounded by

$$
\Lambda_{\text {energy }} \cdot N^{-3 d} \cdot\left(\sum_{m}|g(m)|^{2}\right)^{2}
$$

where we have used Cauchy-Schwartz and the energy assumption.

## Proof of Energy $\rightarrow$ Restriction (continued)

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where we have used Cauchy-Schwartz and the energy assumption.

- Going back, we see that the expression is bounded by

$$
\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot \Lambda_{\text {energy }}^{\frac{1}{4}} \cdot N^{-\frac{3 d}{4}} \cdot\left(\sum_{m}|g(m)|^{2}\right)^{\frac{1}{2}}
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## Proof of Energy $\rightarrow$ Restriction (continued)

- If we go back and unravel the definitions, we see that


## Proof of Energy $\rightarrow$ Restriction (continued)

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$$
\sum_{m}|g(m)|^{2} \leq\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot \Lambda_{\text {energy }}^{\frac{1}{4}} \cdot N^{-\frac{3 d}{4}} \cdot\left(\sum_{m}|g(m)|^{2}\right)^{\frac{1}{2}}
$$

- hence

$$
\left(\frac{1}{|S|} \sum_{m \in S}|\widehat{f}(m)|^{2}\right)^{\frac{1}{2}} \leq\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot \frac{1}{|S|^{\frac{1}{2}}} \cdot \Lambda_{e n e r g y}^{\frac{1}{4}} \cdot N^{-\frac{3 d}{4}}
$$

## Proof of Energy $\rightarrow$ Restriction (finale)

- This expression equals

$$
\begin{aligned}
& \Lambda_{\text {energy }}^{\frac{1}{4}} \cdot N^{-d} \cdot\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot \frac{N^{\frac{d}{4}}}{|S|^{\frac{1}{2}}} \\
= & \Lambda_{\text {size }}^{-\frac{1}{2}} \cdot \Lambda_{e n e r g y}^{\frac{1}{4}} \cdot N^{-d} \cdot\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}},
\end{aligned}
$$

as claimed.

## Proof of Uncertainty Principle via Restriction I

- Suppose that $f$ is supported in a set $E$, and $\widehat{f}$ is supported in a set $S$. Then by the Fourier Inversion Formula and the support condition,

$$
f(x)=\sum_{m \in \mathbb{Z}_{N}^{d}} \chi(x \cdot m) \widehat{f}(m)=\sum_{m \in S} \chi(x \cdot m) \widehat{f}(m)
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$$

- By the restriction bound assumption, this expression is bounded by

$$
|S| \cdot C_{p, q} \cdot N^{-d} \cdot\left(\sum_{x \in \mathbb{Z}_{N}^{d}}|f(x)|^{p}\right)^{\frac{1}{p}}
$$

## Proof of Uncertainty Principle via Restriction I (continued)

- and by the support assumption, this quantity is equal to

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- Putting everything together, we see that

$$
|f(x)| \leq|S| \cdot C_{p, q} \cdot N^{-d} \cdot\left(\sum_{x \in E}|f(x)|^{p}\right)^{\frac{1}{p}}
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$$

- Raising both sides to the power of $p$, summing over $E$, and dividing both sides of the resulting inequality by $\sum_{x \in E}|f(x)|^{p}$, we obtain

$$
|S|^{p} \cdot|E| \cdot C_{p, q}^{p} \geq N^{d p} .
$$

## Proof of Uncertainty Principle via Restriction I (finale)

- or, equivalently,

$$
|E|^{\frac{1}{p}} \cdot|S| \geq \frac{N^{d}}{C_{p, q}}
$$

as desired.

## Proof of Uncertainty Principle via Restriction I (finale)

- or, equivalently,

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$$

as desired.

- This completes the proof of the Uncertainty Principle via Restriction Theory.


## Proof of Uncertainty Principle via Restriction II (definitions)

- Define

$$
\|f\|_{L^{p}(E)}=\left(\sum_{x \in E}|f(x)|^{p}\right)^{\frac{1}{p}},\|f\|_{L^{p}\left(\mu_{E}\right)}=\left(\frac{1}{|E|} \sum_{x \in E}|f(x)|^{p}\right)^{\frac{1}{p}} .
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$$

- Similarly define

$$
\|f\|_{L^{p}(S)}=\left(\sum_{x \in S}|f(x)|^{p}\right)^{\frac{1}{p}},\|f\|_{L^{p}\left(\mu_{S}\right)}=\left(\frac{1}{|S|} \sum_{x \in S}|f(x)|^{p}\right)^{\frac{1}{p}} .
$$

## Proof of Uncertainty Principle via Restriction II: $q \geq 2$

- The restriction estimate takes the form

$$
\|\widehat{f}\|_{L^{q}\left(\mu_{S}\right)} \leq C_{p, q} N^{-d}\|f\|_{L^{p}(E)} .
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- Since $q>2$,

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\|\widehat{f}\|_{L^{2}\left(\mu_{S}\right)} \leq\|\widehat{f}\|_{L^{q}\left(\mu_{S}\right)} \leq C_{p, q} N^{-d}\|f\|_{L^{p}(E)}
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$$

- Since $\widehat{f}$ is supported in $S$, and $f$ is supported on $E$, Plancherel implies that

$$
\|\widehat{f}\|_{L^{2}\left(\mu_{S}\right)}=|S|^{-\frac{1}{2}} \cdot N^{-\frac{d}{2}}\|f\|_{L^{2}(E)}
$$

## Proof of Uncertainty Principle via Restriction II: $q \geq 2$ (continued)

- Plugging this back into the restriction estimate, we see that

$$
\begin{gathered}
|S|^{-\frac{1}{2}} \cdot N^{-\frac{d}{2}}\|f\|_{L^{2}(E)} \leq C_{p, q} N^{-d}\|f\|_{L^{p}(E)} \\
\leq C_{p, q} N^{-d}|E|^{\frac{1}{p}-\frac{1}{2}}\|f\|_{L^{2}(E)} .
\end{gathered}
$$

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- Plugging this back into the restriction estimate, we see that

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\leq C_{p, q} N^{-d}|E|^{\frac{1}{p}-\frac{1}{2}}\|f\|_{L^{2}(E)}
\end{gathered}
$$

- Combining everything yields

$$
|E|^{\frac{2-p}{p}} \cdot|S| \geq \frac{N^{d}}{C_{p, q}^{2}}
$$

as claimed.

## Proof of Uncertainty Principle via Restriction II: $q \leq 2$

- To handle the case $q<2$, we shall need Hausdorff-Young. If $1 \leq p \leq 2$,

$$
\|\widehat{g}\|_{L^{p^{\prime}}\left(\mathbb{Z}_{N}^{d}\right)} \leq N^{-\frac{d}{p^{\prime}}}\|g\|_{L^{p}\left(\mathbb{Z}_{N}^{d}\right)}
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$$

- The Hausdorff-Young implies that the left hand side of the restriction inequality is bounded from below by (with $\widehat{f}=g$ )

$$
|S|^{-\frac{1}{q}} N^{\frac{d}{q}}\|\widehat{g}\|_{L^{p^{\prime}}\left(\mathbb{Z}_{N}^{d}\right)}=|S|^{-\frac{1}{q}} N^{-\frac{d}{q^{\prime}}}\|f\|_{L^{q^{\prime}}(E)}
$$

Combining this with the restriction theorem bound, we get

$$
\begin{gathered}
|S|^{-\frac{1}{q}} N^{-\frac{d}{q^{\prime}}}\|f\|_{L^{\prime}(E)} \leq C_{p, q} N^{-d}\|f\|_{L^{p}(E)} \\
\leq C_{p, q} N^{-d} \cdot|E|^{\frac{1}{p}-\frac{1}{q^{\prime}}}\|f\|_{L^{\prime}(E)} .
\end{gathered}
$$

## Proof of Uncertainty Principle via Restriction II: $q \leq 2$ (Finale)

- Cancelling the $L^{q^{\prime}}$ norms, putting everything together and rearranging yields

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|E|^{\frac{q\left(q^{\prime}-p\right)}{p q^{\prime}}} \cdot|S| \geq \frac{N^{d}}{C_{p, q}^{q}}
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- An algebraic calculation shows that

$$
\frac{q\left(q^{\prime}-p\right)}{p q^{\prime}}<\frac{1}{p}
$$

we gain over the first restriction theory mechanism we described provided that $C_{p, q}$ is not too large.


