A few simple perspectives on Fourier uncertainty

Alex losevich

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Fourier Uncertainty Principle

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• The **precise** formulation depends on context, but some version of this idea is present in every problem where the Fourier transform is involved.

• One of our key points of emphasis today is connections between Fourier uncertainty and exact signal recovery.

Restriction Conjecture

Conjecture

(Restriction conjecture) The restriction conjecture says that if S is the unit sphere, then

$$\left(\int_{\mathcal{S}}|\widehat{f}(\xi)|^{r}d\sigma_{\mathcal{S}}(\xi)\right)^{\frac{1}{r}} \leq C_{p,r}\left(\int_{\mathbb{R}^{d}}|f(x)|^{p}dx\right)^{\frac{1}{p}}$$

whenever

$$p<rac{2d}{d+1},\ r\leqrac{d-1}{d+1}p',$$

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• This conjecture is solved in two dimensions and in spite of a lot of brilliant work by Bourgain, Guth, Ou, Stein, Tao, Tomas, Wang and many others, the problem is still open in higher dimensions.

- We have

$$\chi_A(x) = \int e^{2\pi i x \cdot \xi} \widehat{\chi}_A(\xi) d\xi$$

- Suppose that A is a compact set in ℝ^d, d ≥ 2, |A| > 0, and χ̂_A(ξ) is known except for ξ ∈ S^δ, the annulus of radius 1 and thickness δ (small). Can we recover χ_A(x) exactly?
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$$= \int_{\xi \notin S^{\delta}} + \int_{\xi \in S^{\delta}} = I(x) + II(x).$$

• By assumption, we have no information about II(x), so we must estimate it and hope for the best.

Applying the conjectured restriction inequality

• By Holder, if the restriction theorem holds with exponents (p, r), then

$$|II(x)| \leq |S^{\delta}| \cdot \left(\frac{1}{|S^{\delta}|} \int_{S^{\delta}} |\widehat{\chi}_{A}(\xi)|^{r} d\xi\right)^{\frac{1}{r}} \leq C_{p,r} \cdot |S^{\delta}| \cdot |A|^{\frac{1}{p}}.$$

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• If the right hand side is $<\frac{1}{2}$, i.e if $|A| \le \delta^{-p}$ with suitable constants, then we can take the modulus of I(x) and round it up to 1, or down to 0, whichever is closer, and thus recover $\chi_A(x)$ is exactly.

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- For any *r*, the restriction theorem always holds for *p* = 1, but according to the restriction conjecture, it holds for any

$$p < rac{2d}{d+1},$$

which gives us a much less stringent recovery condition.

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- Suppose that $f \in L^1_{loc}(\mathbb{R}^d)$ and \widehat{f} is supported in S is a k-dimensional submnaifold of \mathbb{R}^d . Suppose further that $f \in L^p(\mathbb{R}^d)$ for some $p \leq \frac{2d}{k}$. Then $f \equiv 0$.

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- A natural question is whether the exponent $\frac{2d}{k}$ is sharp, and what does it have to with restriction theory?

• After all, if k = d - 1 and S is the unit sphere, $\frac{2d}{d-1}$ is the sharp conjectured exponent for the dual of the restriction conjecture.

Theorem

(S. Guo, A. Iosevich, R. Zhang, and P. Zorich-Kranich (2023)) Let $d \ge 2$ be a positive integer and suppose that $1 \le p < \frac{d^2+d+2}{2}$. If $f \in L^p(\mathbb{R}^d)$ and \hat{f} is supported on

$$\{(t, t^2, \ldots, t^d) : t \in (0, 1)\},\$$

then $f \equiv 0$. The exponent $\frac{d^2+d+2}{2}$ is best possible, up to the endpoint. Moreover, the conclusion is still valid for small perturbations of this curve.

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- Note that the Agranovsky-Narayanan theorem yields the same conclusion for *p* < 2*d* in this case.
- We also note that $\frac{d^2+d+2}{2}$ is the optimal extension exponent.

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$$f:\mathbb{Z}_N^d\to\mathbb{C}.$$

• Suppose that f is transmitted via its Fourier transforms, with

$$\widehat{f}(m) = N^{-d} \sum_{x \in \mathbb{Z}_N^d} \chi(-x \cdot m) f(x); \ \chi(t) = e^{\frac{2\pi i t}{N}}.$$

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• Fourier Inversion says that we can reconstruct (or recover) the signal completely by using the Fourier inversion:

$$f(x) = \sum_{\substack{\chi(x \cdot m) \widehat{f}(m), \\ \langle m \rangle \land \langle m$$

Exact recovery problem

• The basic question is, can we *still* recover *f* **exactly** from its discrete Fourier transforms if

$$\left\{\widehat{f}(m):m\in S\right\}$$

are unobserved (or missing due to noise, other interference, or security), for some $S \subset \mathbb{Z}_N^d$?

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• The answer turns out to be YES if f is supported in $E \subset \mathbb{Z}_N^d$, and

$$|E|\cdot|S|<\frac{N^d}{2},$$

with the main tool being the Fourier Uncertainty Principle (FUP).

• Suppose that $E \subset \mathbb{Z}_N^d$ and f(x) = E(x), the indicator function of E.

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$$E(x) = \sum_{m \in \mathbb{Z}_N^d} \chi(x \cdot m) \widehat{E}(m)$$

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$$E(x) = \sum_{m \in \mathbb{Z}_N^d} \chi(x \cdot m) \widehat{E}(m)$$

 $=\sum_{m\notin S}\chi(x\cdot m)\widehat{E}(m)+\sum_{m\in S}\chi(x\cdot m)\widehat{E}(m)=I(x)+II(x).$

An elementary point of view: Cauchy-Schwarz

• By Cauchy-Schwarz,

$$|II(x)| \leq |S|^{\frac{1}{2}} \cdot \left(\sum_{m \in S} \left|\widehat{E}(m)\right|^{2}\right)^{\frac{1}{2}}.$$

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• Extending the sum in S over the sum in \mathbb{Z}_N^d and applying Plancherel, we see that this expression is bounded by

$$S|^{\frac{1}{2}} \cdot N^{-\frac{d}{2}} \cdot |E|^{\frac{1}{2}}.$$

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• If

$$|S|^{\frac{1}{2}} \cdot N^{-\frac{d}{2}} \cdot |E|^{\frac{1}{2}} < \frac{1}{2},$$

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• This gives us exact recovery using a simple and direct argument if

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• This gives us exact recovery using a simple and direct argument if

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• But what happens if we consider general signals?

Donoho-Stark point of view

• Suppose that $h : \mathbb{Z}_N \to \mathbb{C}$ has N_t non-zero values, and its Fourier transform \hat{h} has N_w non-zero entries. Then the classical Uncertainty Principle says that

 $|\mathsf{supp}(h)| \cdot |\mathsf{supp}(\hat{h})| = N_t \cdot N_w \ge N.$
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• Suppose that $f : \mathbb{Z}_N \to \mathbb{C}$ is supported in $E \subset \mathbb{Z}_N$, with the frequencies in $S \subset \mathbb{Z}_N$ unobserved.

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- Suppose that $f : \mathbb{Z}_N \to \mathbb{C}$ is supported in $E \subset \mathbb{Z}_N$, with the frequencies in $S \subset \mathbb{Z}_N$ unobserved.
- If f cannot be recovered uniquely, then there exists a signal $g : \mathbb{Z}_N \to \mathbb{C}$ such that g also has N_t non-zero entries,

 $\widehat{f}(m) = \widehat{g}(m)$ for $m \notin S$,

and f is not identically equal to g.

Uncertainty Principle (UP) \rightarrow Unique Recovery

• Let h = f - g. It is clear that \hat{h} has at most N_w non-zero entries, and h has at most $2N_t$ non-zero entries.

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$$N_t \cdot N_w \geq \frac{N}{2}.$$

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- By the Uncertainty Principle, we must have

$$N_t \cdot N_w \geq \frac{N}{2}.$$

• Therefore, if

$$N_t \cdot N_w < \frac{N}{2},$$

we must have h = 0, and hence the recovery is *unique*.

An elementary proof of the (finite) Uncertainty Principle

• Suppose that $f : \mathbb{Z}_N^d \to \mathbb{C}$ supported in E, with \hat{f} supported in S.

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• By Cauchy-Schwarz, Plancherel, and the fact that *f* is supported on *E*,

$$ert f(x) ert^2 \leq ert S ert \cdot \sum_{m \in S} ert \widehat{f}(m) ert^2$$

= $ert S ert \cdot \sum_{m \in \mathbb{Z}_N^d} ert \widehat{f}(m) ert^2 = ert S ert \cdot N^{-d} \cdot \sum_{x \in E} ert f(x) ert^2.$

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• Summing both sides over *E* and dividing by $\sum_{x \in E} |f(x)|^2$, we get

 $|E| \cdot |S| \ge N^d$, (the classical Uncertainty Principle).

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- In general, we cannot do better, but in most cases we can. This, in essence, is the main thrust of this talk.

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- An immediate question that arises is whether this inequality can be improved.
- In general, we cannot do better, but in most cases we can. This, in essence, is the main thrust of this talk.
- Some stronger uncertainty principles that depend on the arithmetic properties of *N* have been obtained by Tao and Meshulam. We shall briefly discuss those in a moment.

• Let N be an odd prime, and let S be a k-dimensional subspace of \mathbb{Z}_N^d , $1 \le k \le d-1$.

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$$\widehat{S}(m) = N^{-(d-k)}S^{\perp}(m).$$

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• Since $|S| \cdot |S^{\perp}| = N^d$, the FUP is sharp.

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• However, there are very few situations of this type, and it is possible to classify them, though we will not do it here.

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• Since
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, the FUP is sharp.

- However, there are very few situations of this type, and it is possible to classify them, though we will not do it here.
- We will see that in most cases, we can do much better, and the key mechanism we are going to utilize is **restriction theory**.

Restriction theory enters the picture

• We say that $S \subset \mathbb{Z}_N^d$ satisfies the (p,q) restriction estimate $(1 \leq p \leq q)$ with uniform constant $C_{p,q} > 0$ if for any function $f : \mathbb{Z}_N^d \to \mathbb{C}$,

$$\left(\frac{1}{|S|}\sum_{m\in S}\left|\widehat{f}(m)\right|^{q}\right)^{\frac{1}{q}} \leq C_{p,q}N^{-d}\left(\sum_{x\in \mathbb{Z}_{N}^{d}}\left|f(x)\right|^{p}\right)^{\frac{1}{p}}.$$

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Theorem (Uncertainty Principle via Restriction Theory – A.I. & A.Mayeli, 2023)

Suppose that $f, \hat{f} : \mathbb{Z}_N^d \to \mathbb{C}$, with f supported in $E \subset \mathbb{Z}_N^d$, and \hat{f} supported in $S \subset \mathbb{Z}_N^d$. Suppose S satisfies the (p, q) restriction estimate with norm $C_{p,q}$. Then

$$|E|^{\frac{1}{p}}\cdot|S|\geq \frac{N^d}{C_{p,q}}.$$

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A stronger (usually) restriction mechanism

Theorem (Uncertainty Principle via Restriction Theory – A.I. & A.Mayeli, 2024)

Suppose that $f : \mathbb{Z}_N^d \to \mathbb{C}$ is supported in $E \subset \mathbb{Z}_N^d$, and $\hat{f} : \mathbb{Z}_N^d \to \mathbb{C}$ is supported in $S \subset \mathbb{Z}_N^d$. Suppose S satisfies the (p,q) restriction estimate with norm $C_{p,q}$, $1 \le p \le q$, $p \le 2$.

i) If $q \geq 2$, then $|E|^{\frac{2-p}{p}} \cdot |S| \geq \frac{N^d}{C_{p,q}^2}.$

ii) If 1 < q < 2, then

$$|E|^{\frac{(q'-p)q}{q'p}} \cdot |S| \ge \frac{N^d}{C^q_{p,q}}$$

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From Restriction to Exact Recovery

Corollary

Let $f : \mathbb{Z}_N^d \to \mathbb{C}$ with support supp(f) = E. Let r be another signal with support of the same size such that $\hat{r}(m) = \hat{f}(m)$ for $m \notin S$, and 0 otherwise. Suppose $S \subset \mathbb{Z}_N^d$ satisfies the (p,q), p < 2, restriction estimate with uniform constant $C_{p,q}$. Then f can be reconstructed from r uniquely if

$$|E|^{\frac{1}{p}}\cdot|S|<\frac{N^d}{2^{\frac{1}{p}}C_{p,q}},$$

or if

$$|E|^{\frac{2-p}{p}} \cdot |S| < \frac{N^d}{2^{\frac{2-p}{p}}C_{p,q}^2} \text{ when } q \ge 2,$$

and

$$|E|^{\frac{(q'-p)q}{q'p}}\cdot |S| < \frac{N^d}{2^{\frac{(q'-p)q}{q'p}}C_{p,q}^q} \text{ when } q \leq 2.$$

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From additive energy to restriction

Theorem (A.I. & A. Mayeli, 2023)

Let $S \subset \mathbb{Z}_N^d$ with the property that

$$|S| = \Lambda_{size} N^{\frac{d}{2}},$$

and

$$|\{(x,y,x',y')\in U: x+y=x'+y'\}|\leq \Lambda_{energy}\cdot |U|^2$$

for every $U \subset S$.

Then S satisfies $(\frac{4}{3}, 2)$ restriction with $C_{p,q} = \Lambda_{size}^{-\frac{1}{2}} \cdot \Lambda_{energy}^{\frac{1}{4}}$, *i.e.*

$$\left(\frac{1}{|S|}\sum_{m\in S}\left|\widehat{f}(m)\right|^{2}\right)^{\frac{1}{2}} \leq \Lambda_{size}^{-\frac{1}{2}}\cdot\Lambda_{energy}^{\frac{1}{4}}\cdot N^{-d}\left(\sum_{x\in \mathbb{Z}_{N}^{d}}\left|f(x)\right|^{\frac{4}{3}}\right)^{\frac{4}{3}}$$

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Bourgain's Λ_q theorem - general formulation

• Jean Bourgain proved that if G is a locally compact abelian group, ϕ_1, \ldots, ϕ_n are orthogonal functions with $||\phi_j||_{\infty} \leq 1$, the for a generic set $S \subset \{1, 2, \ldots, n\}$ of size $\approx n^{\frac{2}{q}}$, q > 2,

$$\left\| \left\| \sum_{i \in S} a_i \phi_i \right\|_{L^q(G)} \leq C(q) \cdot \left(\sum_{i \in S} |a_i|^2 \right)^{\frac{1}{2}},\right\|$$

where C(q) depends only on q.

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where C(q) depends only on q.

• As we shall see, this result has a beautiful built-in uncertainty principle.

Bourgain's Λ_q theorem

• It is a consequence of Bourgain's celebrated Λ_p theorem in locally compact abelian groups that if $f : \mathbb{Z}_N^d \to \mathbb{C}$ and \hat{f} is supported in S, then for a "generic" set of size $\approx N^{\frac{2d}{q}}$, $2 < q < \infty$,

$$\left(\frac{1}{N^d}\sum_{x\in\mathbb{Z}_N^d}|f(x)|^q\right)^{\frac{1}{q}}\leq K_q(S)\left(\frac{1}{N^d}\sum_{x\in\mathbb{Z}_N^d}|f(x)|^2\right)^{\frac{1}{2}},$$

with $K_q(S)$ independent of N.

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ight)^rac{1}{2},$$

with $K_q(S)$ independent of N.

• For such a set S it follows by duality that

$$\left(\frac{1}{|S|}\sum_{m\in S}\left|\widehat{f}(m)\right|^{2}\right)^{\frac{1}{2}} \leq C_{p,2}N^{-d}\left(\sum_{x\in \mathbb{Z}_{N}^{d}}\left|f(x)\right|^{p}\right)^{\frac{1}{p}}, \text{ with } p=q'.$$

• Suppose that S is generic, as in Bourgain's theorem.

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- Suppose that f is supported in $E \subset \mathbb{Z}_N^d$ and \hat{f} is supported in S. Bourgain's theorem implies that

- Suppose that S is generic, as in Bourgain's theorem.
- Suppose that f is supported in $E \subset \mathbb{Z}_N^d$ and \hat{f} is supported in S. Bourgain's theorem implies that

$$N^{-\frac{d}{q}} \cdot |E|^{\frac{1}{q}} \left(\frac{1}{|E|} \sum_{x \in E} |f(x)|^{q} \right)^{\frac{1}{q}}$$
$$\leq K_{q}(S) N^{-\frac{d}{2}} \cdot |E|^{\frac{1}{2}} \left(\frac{1}{|E|} \sum_{x \in E} |f(x)|^{2} \right)^{\frac{1}{2}}.$$

• It follows that

$$|E| \geq \frac{N^d}{\left(K_q(S)\right)^{\frac{1}{\frac{1}{2}-\frac{1}{q}}}}.$$

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It follows that

$$|E| \geq \frac{N^d}{\left(\mathcal{K}_q(S)\right)^{\frac{1}{\frac{1}{2}-\frac{1}{q}}}}$$

• This shows that Bourgain's Λ_q theorem implies that if \hat{f} is supported in a generic set of size $\approx N^{d-\epsilon}$, for some $\epsilon > 0$, then f is supported on a positive proportion of \mathbb{Z}_N^d .

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- This shows that Bourgain's Λ_q theorem implies that if \hat{f} is supported in a generic set of size $\approx N^{d-\epsilon}$, for some $\epsilon > 0$, then f is supported on a positive proportion of \mathbb{Z}_N^d .
- Consequently, if we send a signal f supported on a set of size $o(N^d)$ via its Fourier transform, and the frequencies in a generic $S \subset \mathbb{Z}_N^d$ are missing, we can recover f exactly with very high probability.

Arithmetic matters

 In 2006, Terry Tao proved that if f : Z_p → C, p prime, f is supported in E and f is supported in S, then

 $|E|+|S| \ge p+1.$

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• The key element of the proof is a classical theorem due to Cebotarev which says that if $A, B \subset \mathbb{Z}_p$, |A| = |B|, then

 $det{\chi(xm)}_{x\in A,m\in B} \neq 0$, where $\chi(t) = e^{\frac{2\pi it}{p}}$.

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, where $\chi(t) = e^{\frac{2\pi it}{p}}$.

• Roy Meshulam used Tao's result and a beautiful iteration argument show that if $f : \mathbb{Z}_p^d \to \mathbb{C}$ is supported in E and \hat{f} is supported in S, then for $0 \le j \le d - 1$,

$$p^{j}|E| + p^{d-j-1}|S| \ge p^{d} + p^{d-1}.$$

More arithmetic

Lemma

(A.I., A. Mayeli, and J. Pakianathan (2017)) [Magic Lemma] Suppose that $f: \mathbb{Z}_p^2 \to \mathbb{Q}$, p odd prime. Suppose that $\widehat{f}(m) = 0$ for some $m \neq (0,0)$. Then $\widehat{f}(rm) = 0$ for all $r \neq 0$. Moreover, if f(x) = E(x), the indicator function of $E \subset \mathbb{Z}_p^2$, and $\widehat{E}(m) = 0$ for some $m \neq (0,0)$, then E is equidistributed on the p lines orthogonal to m.

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• Suppose that $\widehat{E}(m) = 0$, as above, with $m \neq (0,0)$ and let $r \neq 0$. We have

$$\widehat{E}(rm) = p^{-2} \sum_{t} \zeta^{\frac{t}{r}} n(t/r) = p^{-2} \sum_{t} \zeta^{t} n(t) = 0.$$

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Lemma

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$$\widehat{E}(rm) = p^{-2} \sum_{t} \zeta^{\frac{t}{r}} n(t/r) = p^{-2} \sum_{t} \zeta^{t} n(t) = 0.$$

• It follows that if $m \neq (0,0)$ is a zero of \widehat{E} , then so is every non-zero multiple of m.

Magic Lemma demystified

Observe that

$$0 = \sum_{t} \zeta^{t} n(t) = n(0) + n(1)\zeta + n(2)\zeta^{2} + \dots + n(p-1)\zeta^{p-1}$$

says that ζ satisfies the polynomial of degree p-1 with coefficients given by $\{n(t)\}$.

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• The minimal polynomial of ζ is

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• The minimal polynomial of ζ is

$$1+\zeta+\zeta^2+\cdots+\zeta^{p-1}.$$

We conclude that n(t) = constant, so E has the same number of points on lines ⊥ m. In particular, |E| is a multiple of p.

• It is not difficult to see that if $f : \mathbb{Z}_p^2 \to \mathbb{Q}$ and \widehat{f} vanishes on a random set S with $|S| = o(p^2)$, then with high probability, f is supported on all of \mathbb{Z}_p^2 .

- It is not difficult to see that if $f : \mathbb{Z}_p^2 \to \mathbb{Q}$ and \widehat{f} vanishes on a random set S with $|S| = o(p^2)$, then with high probability, f is supported on all of \mathbb{Z}_p^2 .
- The point is that it is highly unlikely that a randomly chosen set S of size o(p²) contains a full line through the origin with the origin removed.



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Proof of Energy \rightarrow Restriction

• We have

$$\sum_{m \in S} |\widehat{f}(m)|^2 = \sum_{m} |\widehat{f}(m)|^2 S(m)$$
$$= \sum_{m} \widehat{f}(m) S(m) g(m),$$

where

$$g(m)=\widehat{f}(m)S(m).$$

By definition of the Fourier transform, the right-hand side is equal to

$$N^{-d} \sum_{m} \sum_{x} \chi(-x \cdot m) f(x) S(m) g(m)$$
$$= \sum_{x} f(x) \widehat{gS}(x).$$

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• By Holder's inequality, the quantity above is bounded by

 $\left(\sum_{x\in\mathbb{Z}^d_{i}}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}}\cdot\left(\sum_{x\in\mathbb{Z}^d}|\widehat{gS}(x)|^{4}\right)^{\frac{1}{4}}.$

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• By Holder's inequality, the quantity above is bounded by

$$\left(\sum_{x\in\mathbb{Z}_N^d}|f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}}\cdot\left(\sum_{x\in\mathbb{Z}_N^d}|\widehat{gS}(x)|^{4}\right)^{\frac{1}{4}}.$$

• Continuing, we have

$$\sum_{x\in\mathbb{Z}_N^d} \left|\widehat{gS}(x)
ight|^4$$

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$$= N^{-4d} \sum_{x} \sum_{m_1, m_2, m_3, m_4 \in S} \chi(x \cdot (m_1 + m_2 - m_3 - m_4)) \prod_{i=1}^{4} g(m_i)$$

= $N^{-3d} \sum_{m_1 + m_2 = m_3 + m_4; m_j \in S} g(m_1)g(m_2)g(m_3)g(m_4).$

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• The modulus of this expression is bounded by

$$\Lambda_{energy} \cdot N^{-3d} \cdot \left(\sum_{m} |g(m)|^2\right)^2,$$

where we have used Cauchy-Schwartz and the energy assumption.

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• Going back, we see that the expression is bounded by

$$\left(\sum_{x\in\mathbb{Z}_N^d} |f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot \Lambda_{energy}^{\frac{1}{4}} \cdot N^{-\frac{3d}{4}} \cdot \left(\sum_m |g(m)|^2\right)^{\frac{1}{2}}.$$

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• If we go back and unravel the definitions, we see that

$$\sum_{m} |g(m)|^2 \leq \left(\sum_{x \in \mathbb{Z}_N^d} |f(x)|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot \Lambda_{energy}^{\frac{1}{4}} \cdot \left(\sum_{m} |g(m)|^2\right)^{\frac{1}{2}}$$

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hence

$$\left(\frac{1}{|S|}\sum_{m\in S}\left|\widehat{f}(m)\right|^{2}\right)^{\frac{1}{2}} \leq \left(\sum_{x\in\mathbb{Z}_{N}^{d}}\left|f(x)\right|^{\frac{4}{3}}\right)^{\frac{3}{4}} \cdot \frac{1}{|S|^{\frac{1}{2}}} \cdot \Lambda_{energy}^{\frac{1}{4}} \cdot N^{-\frac{3d}{4}}.$$

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• This expression equals

$$\Lambda_{energy}^{\frac{1}{4}} \cdot N^{-d} \cdot \left(\sum_{x \in \mathbb{Z}_N^d} |f(x)|^{\frac{4}{3}} \right)^{\frac{3}{4}} \cdot \frac{N^{\frac{d}{4}}}{|S|^{\frac{1}{2}}}$$
$$= \Lambda_{size}^{-\frac{1}{2}} \cdot \Lambda_{energy}^{\frac{1}{4}} \cdot N^{-d} \cdot \left(\sum_{x \in \mathbb{Z}_N^d} |f(x)|^{\frac{4}{3}} \right)^{\frac{3}{4}},$$

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as claimed.

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Proof of Uncertainty Principle via Restriction I

• Suppose that f is supported in a set E, and \hat{f} is supported in a set S. Then by the Fourier Inversion Formula and the support condition,

$$f(x) = \sum_{m \in \mathbb{Z}_N^d} \chi(x \cdot m) \widehat{f}(m) = \sum_{m \in S} \chi(x \cdot m) \widehat{f}(m).$$

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• By Holder's inequality,

$$|f(x)| \leq |S| \cdot \left(\frac{1}{|S|} \sum_{m \in S} |\widehat{f}(m)|^q\right)^{\frac{1}{q}}.$$

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By Holder's inequality,

$$|f(x)| \leq |S| \cdot \left(\frac{1}{|S|} \sum_{m \in S} |\widehat{f}(m)|^q\right)^{\frac{1}{q}}$$

• By the restriction bound assumption, this expression is bounded by

$$|S| \cdot C_{p,q} \cdot N^{-d} \cdot \left(\sum_{x \in \mathbb{Z}_N^d} |f(x)|^p\right)^{\frac{1}{p}},$$

Proof of Uncertainty Principle via Restriction I (continued)

• and by the support assumption, this quantity is equal to

$$|S| \cdot C_{p,q} \cdot N^{-d} \cdot \left(\sum_{x \in E} |f(x)|^p\right)^{\frac{1}{p}}.$$

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Proof of Uncertainty Principle via Restriction I (continued)

• and by the support assumption, this quantity is equal to

$$|S| \cdot C_{p,q} \cdot N^{-d} \cdot \left(\sum_{x \in E} |f(x)|^p\right)^{\frac{1}{p}}.$$

• Putting everything together, we see that

$$|f(x)| \leq |S| \cdot C_{p,q} \cdot N^{-d} \cdot \left(\sum_{x \in E} |f(x)|^p\right)^{\frac{1}{p}}.$$

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Proof of Uncertainty Principle via Restriction I (continued)

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• Putting everything together, we see that

$$|f(x)| \leq |S| \cdot C_{p,q} \cdot N^{-d} \cdot \left(\sum_{x \in E} |f(x)|^p\right)^{\frac{1}{p}}.$$

Raising both sides to the power of p, summing over E, and dividing both sides of the resulting inequality by ∑_{x∈E} |f(x)|^p, we obtain

$$|S|^p \cdot |E| \cdot C^p_{p,q} \ge N^{dp}.$$

Proof of Uncertainty Principle via Restriction I (finale)

• or, equivalently,

$$|E|^{\frac{1}{p}} \cdot |S| \geq \frac{N^d}{C_{p,q}},$$

as desired.

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Proof of Uncertainty Principle via Restriction I (finale)

or, equivalently,

$$|E|^{\frac{1}{p}}\cdot|S|\geq \frac{N^d}{C_{p,q}},$$

as desired.

• This completes the proof of the Uncertainty Principle via Restriction Theory.

Proof of Uncertainty Principle via Restriction II (definitions)

• Define

$$||f||_{L^{p}(E)} = \left(\sum_{x \in E} |f(x)|^{p}\right)^{\frac{1}{p}}, ||f||_{L^{p}(\mu_{E})} = \left(\frac{1}{|E|}\sum_{x \in E} |f(x)|^{p}\right)^{\frac{1}{p}}.$$

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Similarly define

$$||f||_{L^{p}(S)} = \left(\sum_{x \in S} |f(x)|^{p}\right)^{\frac{1}{p}}, ||f||_{L^{p}(\mu_{S})} = \left(\frac{1}{|S|}\sum_{x \in S} |f(x)|^{p}\right)^{\frac{1}{p}}.$$

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Proof of Uncertainty Principle via Restriction II: $q \ge 2$

• The restriction estimate takes the form

$$||\widehat{f}||_{L^{q}(\mu_{S})} \leq C_{p,q}N^{-d}||f||_{L^{p}(E)}.$$

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$$||\widehat{f}||_{L^{q}(\mu_{S})} \leq C_{p,q}N^{-d}||f||_{L^{p}(E)}.$$

• Since *q* > 2,

$$||\widehat{f}||_{L^{2}(\mu_{S})} \leq ||\widehat{f}||_{L^{q}(\mu_{S})} \leq C_{p,q}N^{-d}||f||_{L^{p}(E)}.$$

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• Since \hat{f} is supported in *S*, and *f* is supported on *E*, Plancherel implies that

$$||\widehat{f}||_{L^{2}(\mu_{S})} = |S|^{-\frac{1}{2}} \cdot N^{-\frac{a}{2}} ||f||_{L^{2}(E)}.$$

Proof of Uncertainty Principle via Restriction II: $q \ge 2$ (continued)

Plugging this back into the restriction estimate, we see that

$$|S|^{-\frac{1}{2}} \cdot N^{-\frac{d}{2}} ||f||_{L^{2}(E)} \leq C_{p,q} N^{-d} ||f||_{L^{p}(E)}$$
$$\leq C_{p,q} N^{-d} |E|^{\frac{1}{p} - \frac{1}{2}} ||f||_{L^{2}(E)}.$$

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Proof of Uncertainty Principle via Restriction II: $q \ge 2$ (continued)

Plugging this back into the restriction estimate, we see that

$$|S|^{-\frac{1}{2}} \cdot N^{-\frac{d}{2}} ||f||_{L^{2}(E)} \leq C_{p,q} N^{-d} ||f||_{L^{p}(E)}$$
$$\leq C_{p,q} N^{-d} |E|^{\frac{1}{p} - \frac{1}{2}} ||f||_{L^{2}(E)}.$$

• Combining everything yields

$$|E|^{\frac{2-p}{p}} \cdot |S| \ge \frac{N^d}{C_{p,q}^2},$$

as claimed.

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Proof of Uncertainty Principle via Restriction II: $q \leq 2$

• To handle the case q < 2, we shall need Hausdorff-Young. If $1 \le p \le 2$,

$$||\widehat{g}||_{L^{p'}(\mathbb{Z}^d_N)} \leq N^{-\frac{d}{p'}}||g||_{L^p(\mathbb{Z}^d_N)}.$$

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Proof of Uncertainty Principle via Restriction II: $q \leq 2$

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• The Hausdorff-Young implies that the left hand side of the restriction inequality is bounded from **below** by (with $\hat{f} = g$)

$$|S|^{-\frac{1}{q}}N^{\frac{d}{q}}||\widehat{g}||_{L^{p'}(\mathbb{Z}_{N}^{d})}=|S|^{-\frac{1}{q}}N^{-\frac{d}{q'}}||f||_{L^{q'}(E)}.$$

Combining this with the restriction theorem bound, we get

$$|S|^{-rac{1}{q}}N^{-rac{d}{q'}}||f||_{L^{q'}(E)} \leq C_{p,q}N^{-d}||f||_{L^{p}(E)}$$

$$\leq C_{p,q}N^{-d} \cdot |E|^{\frac{1}{p}-\frac{1}{q'}}||f||_{L^{q'}(E)}.$$

Proof of Uncertainty Principle via Restriction II: $q \leq 2$ (Finale)

• Cancelling the $L^{q'}$ norms, putting everything together and rearranging yields

$$|E|^{\frac{q(q'-p)}{pq'}}\cdot|S|\geq rac{N^d}{C^q_{p,q}}.$$

Proof of Uncertainty Principle via Restriction II: $q \leq 2$ (Finale)

• Cancelling the $L^{q'}$ norms, putting everything together and rearranging yields

$$|E|^{\frac{q(q'-p)}{pq'}} \cdot |S| \geq \frac{N^d}{C^q_{p,q}}.$$

• An algebraic calculation shows that

$$\frac{q(q'-p)}{pq'} < \frac{1}{p},$$

we gain over the first restriction theory mechanism we described provided that $C_{p,q}$ is not too large.



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