

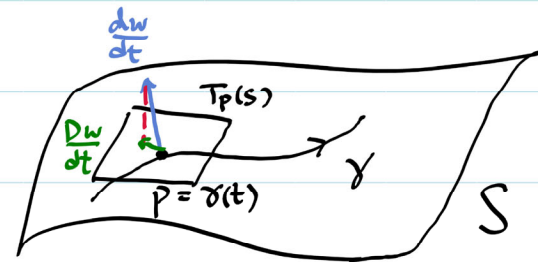
## Geodesic curvature

Let  $S$  be an oriented regular surface in  $\mathbb{R}^3$ , with a continuous choice of unit normal  $N$  on  $S$ . Let  $\gamma(s)$  be an arc-length parametrized  $C^\infty$  curve on  $S$ , i.e.  $\gamma(s) \in S$  and  $|\gamma'(s)| = 1$  for every  $s$ . Previously we defined its curvature  $k(s) = |\gamma''(s)|$ , and defined its normal curvature  $k_n(s) = \gamma''(s) \cdot N$  (where by  $N$  we mean  $N(\gamma(s))$ ). Today we introduce its geodesic curvature, denoted by  $k_g(s)$ . In fact, we will first consider a slightly more general setting as follows.

Let  $\gamma(t)$  be any  $C^\infty$  curve on  $S$  (not necessarily parametrized by arc length now) and let  $w(t)$  be a  $C^\infty$  **unit** vector field along  $\gamma(t)$ , i.e.  $w(t) \in T_{\gamma(t)}(S) \forall t$  and  $|w(t)| = 1$ .

Earlier we defined its covariant derivative  $\frac{Dw}{dt}$  along  $\gamma$ :

$\frac{Dw}{dt}$  = the orthogonal projection of  $\frac{dw}{dt}$  to  $T_{\gamma(t)}(S)$ .



Today, first note that  $N$  and  $w$  are unit vectors that are orthogonal to each other. If  $\bar{w} := N \wedge w$ , then  $\{N, w, \bar{w}\}$  form an orthonormal basis with positive orientation in  $\mathbb{R}^3$ . We define the algebraic value  $\left[\frac{Dw}{dt}\right]$  of the covariant derivative  $\frac{Dw}{dt}$ , by  $\left[\frac{Dw}{dt}\right] = \frac{Dw}{dt} \cdot \bar{w} (= \frac{Dw}{dt} \cdot (N \wedge w))$ . Since  $\frac{dw}{dt} - \frac{Dw}{dt}$  is a multiple of  $N$ , and  $\bar{w}$  is normal to  $N$ , we also have  $\left[\frac{Dw}{dt}\right] = \frac{dw}{dt} \cdot \bar{w} (= \frac{dw}{dt} \cdot (N \wedge w))$ . Since  $w \cdot w = 1 \forall t$ , differentiating this and using  $\frac{d}{dt}(w \cdot w) = 2 \frac{Dw}{dt} \cdot w$  gives  $\frac{Dw}{dt} \cdot w = 0$ , and by construction  $\frac{Dw}{dt} \cdot N = 0$ . Altogether, we see that in the orthonormal frame  $\{N, w, \bar{w}\}$ , we have  $\frac{Dw}{dt} = \left[\frac{Dw}{dt}\right] \bar{w} = \left[\frac{Dw}{dt}\right] N \wedge w$ ; in particular, the absolute value of  $\left[\frac{Dw}{dt}\right]$  is the length of the vector  $\frac{Dw}{dt}$ .

We will next apply this to  $w := \gamma'(s)$ , where  $\gamma(s)$  is a  $C^\infty$  arc-length parametrized curve on an oriented regular surface  $S$ . In that case, we define the geodesic curvature  $k_g(s)$  of  $\gamma(s)$  to be  $k_g(s) := \left[\frac{D}{ds}\left(\frac{d\gamma}{ds}\right)\right]$  (so  $k_g(s) = \frac{d^2\gamma}{ds^2} \cdot (N \wedge \frac{d\gamma}{ds}) = \gamma''(s) \cdot (N \wedge \gamma'(s))$  from the discussion above).

From our earlier discussion, we also have  $\frac{D}{ds}\left(\frac{d\gamma}{ds}\right) = k_g(s) (N \wedge \frac{d\gamma}{ds})$ ; in particular,  $\gamma(s)$  is a geodesic, if and only if the geodesic curvature  $k_g(s)$  vanishes identically.

Example. Let  $\underline{x}(u,v) = (\cos u \cos v, \cos u \sin v, \sin u)$  be a local parametrization of the unit sphere  $S^2$  and  $\gamma(s) = \underline{x}\left(\phi, \frac{s}{\cos \phi}\right)$  be a latitude parametrized at unit speed where  $\phi \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$  is fixed.

Find the geodesic curvature of  $\gamma(s)$ .

Solution. Note  $\frac{d\gamma}{ds} = \frac{1}{\cos \phi} \underline{x}_v = (-\sin v, \cos v, 0)$ , and  $N = (\cos u \cos v, \cos u \sin v, \sin u)$ ,

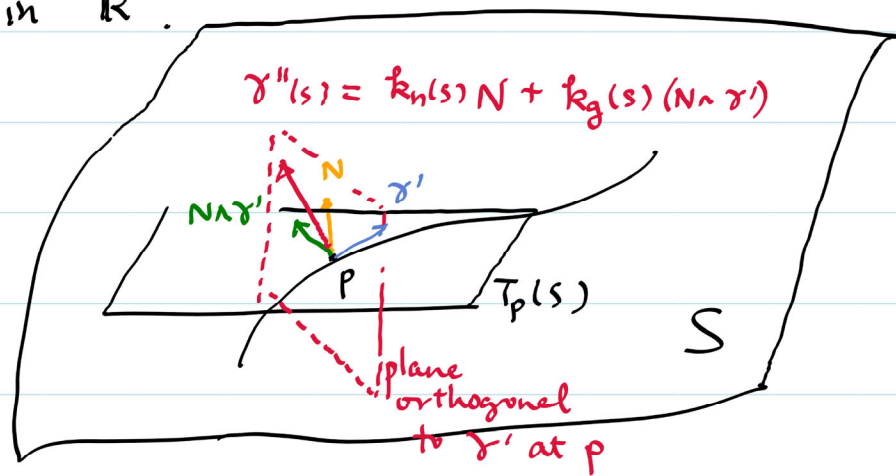
so  $N \wedge \frac{d\gamma}{ds} = (-\sin u \cos v, -\sin u \sin v, \cos u) = \underline{x}_u$ . From here, we may either

recall we calculated  $\Gamma_{22}^1 = \sin u \cos u$  and  $\Gamma_{22}^2 = 0$ , so that  $\frac{D}{ds}\left(\frac{d\gamma}{ds}\right) = \frac{1}{\cos \phi} \nabla_{\underline{x}_v}\left(\frac{1}{\cos \phi} \underline{x}_v\right) = \frac{1}{\cos^2 \phi} \nabla_{\underline{x}_v} \underline{x}_v = \frac{1}{\cos^2 \phi} \sin \phi \cos \phi \underline{x}_u = \tan \phi \underline{x}_u$ , which

implies  $k_g(s) = \frac{D}{ds}\left(\frac{d\gamma}{ds}\right) \cdot (N \wedge \frac{d\gamma}{ds}) = \tan \phi$ ; or use  $\frac{d^2\gamma}{ds^2} = \frac{1}{\cos^2 \phi} \underline{x}_{vv} = \frac{1}{\cos \phi} (-\cos v, -\sin v, 0)$  so  $k_g(s) = \left[\frac{D}{ds}\left(\frac{d\gamma}{ds}\right)\right] \cdot (N \wedge \frac{d\gamma}{ds}) = \frac{d^2\gamma}{ds^2} \cdot (N \wedge \frac{d\gamma}{ds}) = \frac{\sin \phi}{\cos \phi} = \tan \phi$ .

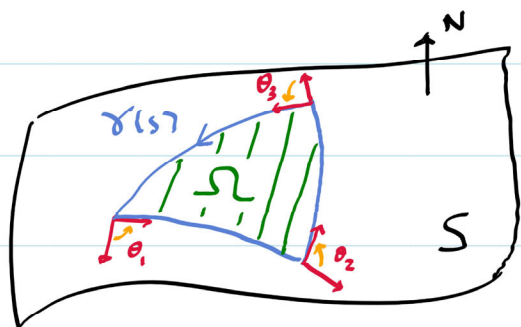
Either way we get the same answer  $k_g(s) = \tan \phi$ . (Again,  $\gamma(s)$  is geodesic iff  $\tan \phi = 0$ , i.e.  $\phi = 0$ ).

Let  $\gamma(s)$  be a  $C^\infty$  arc-length parametrized curve on a regular surface  $S$  oriented with a continuous unit normal  $N$  on  $S$ . Earlier we saw that the curvature of  $\gamma(s)$  is  $k(s) := |\gamma''(s)|$ , and the normal curvature  $k_n(s) := \gamma''(s) \cdot N$ . Just now we defined the geodesic curvature  $k_g(s)$  so that  $k_g(s) = \gamma''(s) \cdot (N \wedge \gamma'(s))$ . Since  $\gamma''(s) \cdot \gamma'(s) = 0$  (obtained by differentiating  $\gamma'(s) \cdot \gamma'(s) = 1 \quad \forall s$ ), and since  $\{N, \gamma', N \wedge \gamma'\}$  is an orthonormal frame in  $\mathbb{R}^3$ , we have now  $\gamma''(s) = k_n(s)N + k_g(s)(N \wedge \gamma')$ , and Pythagoras's theorem shows that  $k(s) = \sqrt{k_n(s)^2 + k_g(s)^2}$ . This completes our study of various curvatures of  $C^\infty$  arc-length parametrized curves  $\gamma(s)$  on a regular surface  $S$  in  $\mathbb{R}^3$ .



## The local Gauss-Bonnet theorem

Let  $S$  be an oriented regular surface in  $\mathbb{R}^3$ , and let  $\Omega \subseteq S$  be a region homeomorphic to a closed disc in  $\mathbb{R}^2$ . Assume the boundary of  $\Omega$  is parametrized as a simple, closed, piecewise  $C^\infty$ , positively oriented curve  $\gamma(s)$  parametrized by arc length.



[simple: no self intersection ;

closed: end-point = starting point ;

piecewise  $C^\infty$ :  $C^\infty$  curves joined tip to end ;

positively oriented: If you walk along  $\gamma(s)$  with your head up in the direction of  $N$ , then  $\Omega$  is on your left side.]

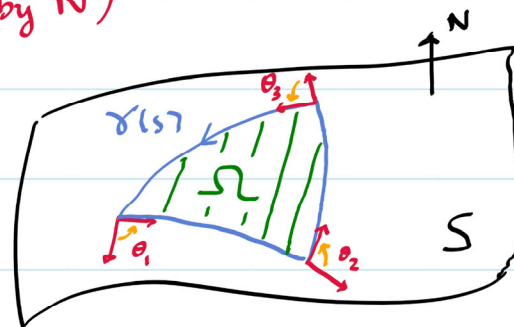
Let  $\theta_1, \dots, \theta_N \in [-\pi, \pi]$  be the angle turned at each point where  $\gamma$  is not  $C^\infty$  (keep your head up at such a point in direction  $N$ , and measure  $\theta_i$  as positive if you turn counterclockwise, negative if you turn clockwise).

The **local Gauss-Bonnet theorem** asserts that if  $K =$  Gaussian curvature on  $S$ ,

then

$$\underbrace{\iint_{\Omega} K \, dA}_{\text{Surface integral of Gaussian Curvature on } \Omega} + \underbrace{\int_{\gamma} k_g \, ds}_{\text{path integral of geodesic Curvature on } \gamma} + \underbrace{\sum_i \theta_i}_{\text{sum of angles turned around } \gamma \text{ (in the orientation determined by } N)} = 2\pi$$

Without loss of generality we may assume that  $\Omega = \underline{x}(R)$  where  $\underline{x}: U \rightarrow \underline{x}(U) \subseteq S$  is some local parametrization, and  $R \subseteq U$ . Then

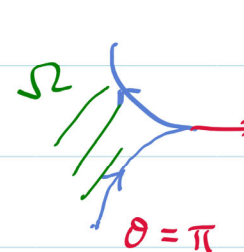
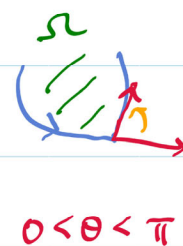
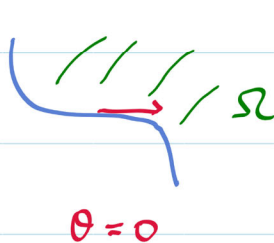
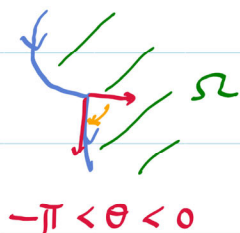
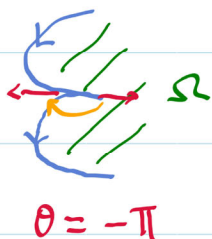


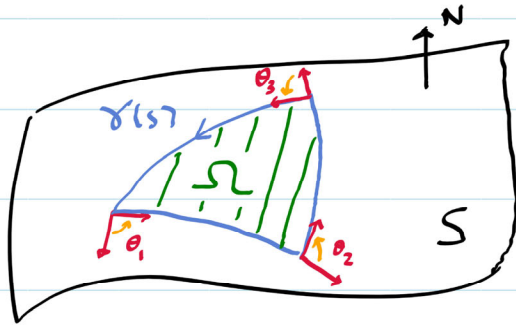
$$\iint_{\Omega} K \, dA = \iint_R K(\underline{x}(u,v)) |\underline{x}_u \wedge \underline{x}_v| \, du \, dv = \iint_R K(\underline{x}(u,v)) \sqrt{EG-F^2} \, du \, dv$$

where  $E, F, G$  are the coefficients of the first fundamental form on  $\underline{x}(U)$ .

Furthermore,  $\int_{\gamma} k_g \, ds = \sum_{i=1}^N \int_{\alpha_{i-1}}^{\alpha_i} k_g(\gamma(s)) \, ds$ . Some examples of  $\theta \in [-\pi, \pi]$ :

○  
N  
pointing  
out of  
screen





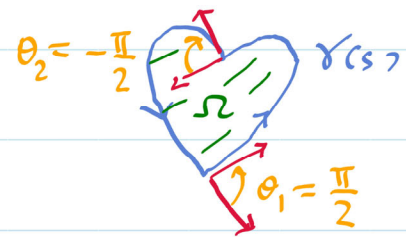
$$\underbrace{\iint_{\Omega} K \, dA}_{\text{Surface integral of Gaussian Curvature on } \Omega} + \underbrace{\int_{\gamma} k_g \, ds}_{\text{path integral of geodesic Curvature on } \gamma} + \underbrace{\sum_i \theta_i}_{\text{sum of angles turned around } \gamma \text{ (in the orientation determined by } N)} = 2\pi$$

e.g. If  $S = \text{plane}$  (so the Gaussian Curvature  $K \equiv 0$  and  $k_g = \text{curvature } k(s)$  of the plane curve  $\gamma(s)$ ), then this formula says that

$$\int_{\gamma} k(s) \, ds + \sum_i \theta_i = 2\pi$$

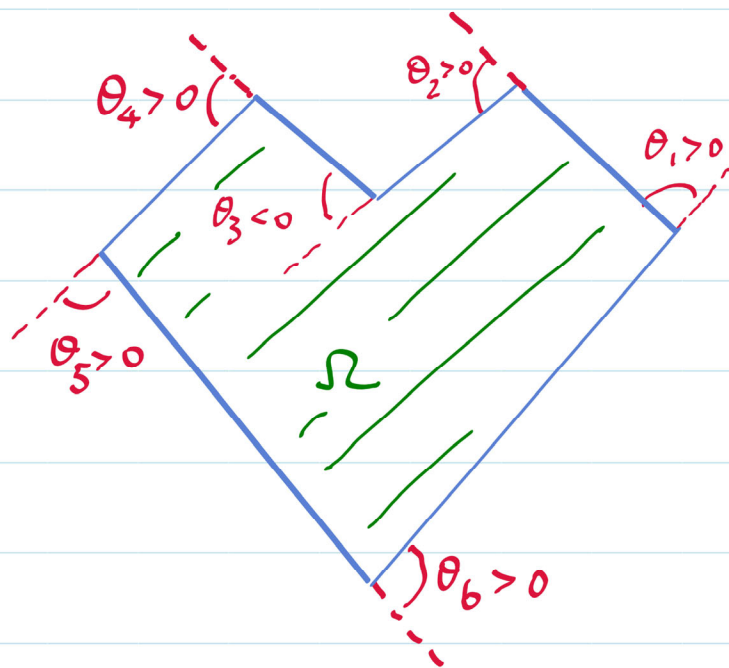
(whenever  $\gamma$  is a simple, closed, piecewise  $C^\infty$  curve parametrized by arc length and has counter-clockwise orientation)

$$\text{Then } \int_{\gamma} k(s) \, ds + \sum_i \theta_i = 2\pi$$



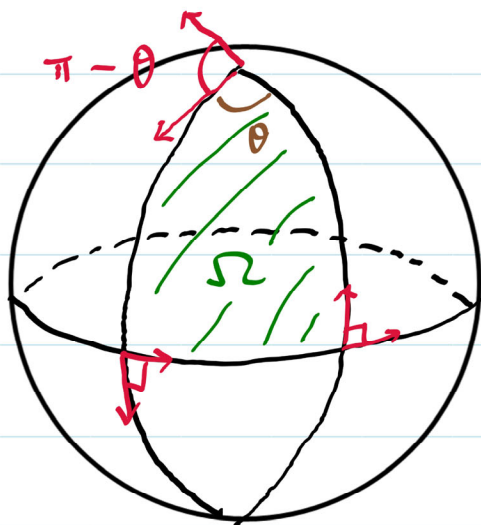
In this picture,  $\int_{\gamma} k(s) \, ds = 2\pi$ .

e.g. If not only  $S = \text{plane}$ , but  $\Omega = \text{polygon in the plane}$ , then  
the local Gauss-Bonnet theorem says  $\sum_i \theta_i = 2\pi$   
(sum of exterior angles =  $2\pi$ )





e.g. Orient a sphere of radius  $R$  with the outer unit normal.  
 Let  $\Omega$  be the "triangle" formed by 3 geodesics, namely  
 the equator and two longitudes that are  $\theta$  degrees apart.



Gaussian curvature  $K = \frac{1}{R^2}$

$$\Rightarrow \iint_{\Omega} K dA = \frac{\text{Area}(\Omega)}{R^2}$$

$$\sum_i \theta_i = \frac{\pi}{2} + \frac{\pi}{2} + (\pi - \theta) = 2\pi - \theta$$

Then the local Gauss-Bonnet says  $\iint_{\Omega} K dA + \sum_i \theta_i = 2\pi$ ,

i.e.  $\frac{\text{Area}(\Omega)}{R^2} + (2\pi - \theta) = 2\pi$ , i.e.  $\text{Area}(\Omega) = R^2\theta$

Sketch of proof of local Gauss-Bonnet: Use Green's theorem.

First, without loss of generality, assume  $\Omega = \mathbf{x}(R)$  for some local parametrization  $\mathbf{x}$  and some closed and bounded region  $R$  in the domain of  $\mathbf{x}$ . We may also assume  $F \equiv 0$  on  $\mathbf{x}(R)$ . Then the Gaussian curvature  $K$  at  $\mathbf{x}(u, v)$  is given by

$$K = -\frac{1}{2\sqrt{EG}} \left[ \left( \frac{G_u}{\sqrt{EG}} \right)_u + \left( \frac{E_v}{\sqrt{EG}} \right)_v \right]. \quad (\text{Assignment 5})$$

$$\text{Hence } \iint_{\Omega} K \, dA = \iint_R -\frac{1}{2\sqrt{EG}} \left[ \left( \frac{G_u}{\sqrt{EG}} \right)_u + \left( \frac{E_v}{\sqrt{EG}} \right)_v \right] \sqrt{EG - 0^2} \, du \, dv$$

Green's theorem:

$$\iint_R (P_u + Q_v) \, du \, dv$$

$$= \int_{\partial R} P \, dv - Q \, du$$

$\partial R$ : positively oriented

$$= - \iint_R \frac{1}{2} \left[ \left( \frac{G_u}{\sqrt{EG}} \right)_u + \left( \frac{E_v}{\sqrt{EG}} \right)_v \right] \, du \, dv$$

$$= - \int_{\partial R} \frac{1}{2} \left( \frac{G_u}{\sqrt{EG}} \, dv - \frac{E_v}{\sqrt{EG}} \, du \right) = - \int_{\gamma} \frac{1}{2} \left( \frac{G_u}{\sqrt{EG}} \frac{dv}{ds} - \frac{E_v}{\sqrt{EG}} \frac{du}{ds} \right) \, ds$$

where  $\gamma(s)$  is a parametrization of  $\partial R$  by arc length.

$$\text{But } \frac{1}{2} \left( \frac{G_u}{\sqrt{EG}} \frac{dv}{ds} - \frac{E_v}{\sqrt{EG}} \frac{du}{ds} \right) = \left[ \frac{D}{ds} \left( \frac{\mathbf{x}_u}{\|\mathbf{x}_u\|} \right) \right] \text{ at every point where } \gamma \text{ is } C^\infty.$$

So  $\iint_{\Omega} K dA = - \int_{\gamma} \left[ \frac{D}{ds} \left( \frac{\underline{x}_u}{\|\underline{x}_u\|} \right) \right] ds$ .

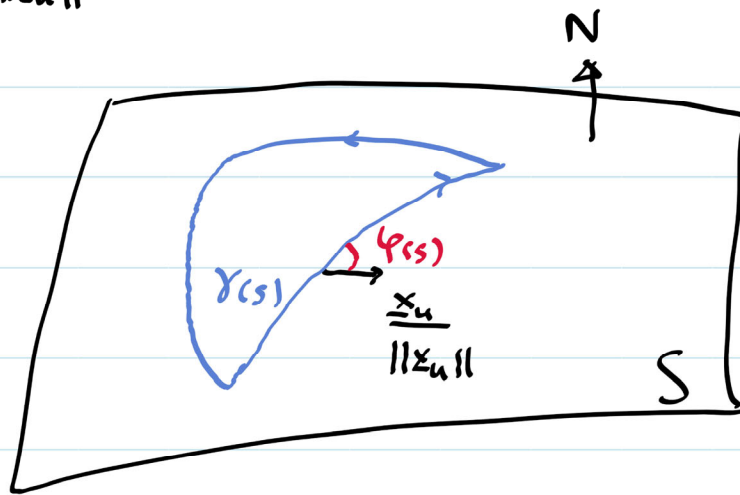
It can be shown that  $\left[ \frac{D}{ds} \left( \frac{\underline{x}_u}{\|\underline{x}_u\|} \right) \right] = \underbrace{\left[ \frac{D}{ds} \left( \frac{d\underline{\gamma}}{ds} \right) \right]}_{k_g(s)} - \frac{d\varphi}{ds}$

where  $\varphi(s)$  is the angle from  $\frac{\underline{x}_u}{\|\underline{x}_u\|}$  to  $\frac{d\underline{\gamma}}{ds}$  in the orientation given by  $N$ . Hence

$$\iint_{\Omega} K dA + \int_{\gamma} k_g(s) ds = \int_{\gamma} \frac{d\varphi}{ds} ds,$$

and it remains to show that

$$\int_{\gamma} \frac{d\varphi}{ds} ds = 2\pi - \sum_i \theta_i,$$



whose proof involves topology (the assumption that  $\Omega$  is homeomorphic to a closed disc) which we omit.

"Theorem of turning tangents"

## Classification of Compact orientable surfaces in $\mathbb{R}^3$ .

A subset of  $\mathbb{R}^3$  is said to be **Compact** if it is closed and bounded.

It turns out that any compact, orientable surfaces in  $\mathbb{R}^3$  is homeomorphic to exactly one of the following surfaces:



Sphere  
"no hole"



torus  
"1 hole"



"2 holes"



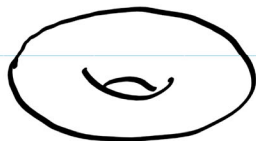
"3 holes"

...

The number of "holes" in such a surface is called the **genus  $g$**  of the surface. The **Euler characteristic** of such a surface  $S$  is defined to be  **$\chi(S) = 2 - 2g$** .



$$g=0, \chi=2$$



$$g=1, \chi=0$$



$$g=2, \chi=-2$$



$$g=3, \chi=-4$$

...

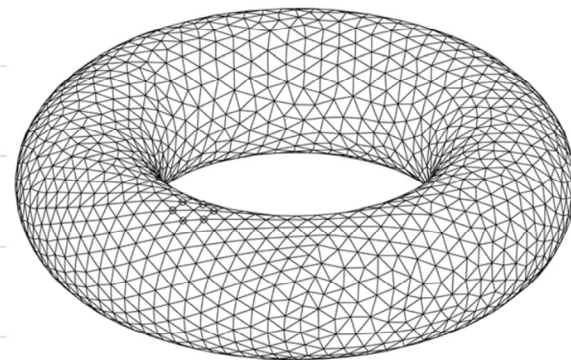
If we triangulate such a surface  $S$  (by "tiling" it with "triangles", where each "triangle" is a region on the surface whose boundary is the union of 3  $C^\infty$  curves joined tip to end), then it can be shown that

$$V - E + F = \chi(S)$$

where  $V$  = number of vertices

$E$  = number of edges

$F$  = number of faces



(Source: wikipedia)

in this triangulation.

e.g. Imagine cutting an orange into 8 pieces, by cutting exactly once along the 3 coordinate planes. Then the surface of the orange is cut into 8 "triangles". In this case,  $V = 6$  (2 at the poles and 4 on the equator),  $E = 12$  (4 on each hemisphere and 4 on equator),  $F = 8$  (8 triangles), and we can check that  $V - E + F = 2 = \chi(S^2)$ . (Remarkably, this holds for any other triangulations!)

## The global Gauss-Bonnet theorem

The global Gauss-Bonnet theorem states that for any compact, orientable regular surface  $S$ , we have  $\iint_S K \, dA = 2\pi \chi(S)$  where the left hand side is the surface integral of the Gaussian curvature  $K$  on  $S$ .

e.g. If  $S =$  sphere of radius  $R$ , then  $K = \frac{1}{R^2}$  at every point, so  $\iint_S K \, dA = \frac{1}{R^2} \cdot \text{Area}(S) = \frac{1}{R^2} \cdot 4\pi R^2 = 4\pi = 2\pi \chi(S^2)$ , as asserted by the global Gauss-Bonnet theorem.

But the global Gauss-Bonnet theorem says much more.

e.g. It also asserts that if  $S$  is any ellipsoid in  $\mathbb{R}^3$ , then  $\iint_S K \, dA = 4\pi$  (because then  $\chi(S) = 2$ ).

To appreciate the global Gauss-Bonnet theorem a bit more, note that the assertion that  $\iint_S K dA = 2\pi \chi(S)$  holds for all compact orientable regular surfaces  $S$  is a very remarkable one, because there are so many compact orientable regular surfaces  $S$ , and their Gaussian curvatures  $K$  can behave in many different ways. In particular,  $K$  depends on the geometry of  $S$ , but the global Gauss-Bonnet theorem asserts that its integral over  $S$  is a **topological invariant**: remember  $\chi(S)$  depends only on the topology of  $S$ , but not on the geometry of  $S$ .

An application: If  $S$  is a compact, orientable regular surface in  $\mathbb{R}^3$  and  $\iint_S K dA > 0$ , then  $\iint_S K dA$  must indeed be equal to  $4\pi$  (because  $\chi(S) = 2 - 2g$  is positive only when  $g = 0$ , in which case  $\chi(S) = 2$  and  $\iint_S K dA = 2\pi \chi(S) = 4\pi$ ), in which case  $S$  must be homeomorphic to  $S^2$  (by our earlier classification of compact orientable surfaces).

Sketch of proof of the global Gauss-Bonnet theorem: triangulate a compact orientable regular surface  $S$  and apply local Gauss-Bonnet on each "triangle". Note that if  $\gamma$  is a "side" of such "triangle", and  $\tilde{\gamma}$  is  $\gamma$  transversed in the opposite orientation, then writing  $k_g$  and  $\tilde{k}_g$  for the geodesic curvatures of  $\gamma$  and  $\tilde{\gamma}$  respectively, we have  $k_g = -\tilde{k}_g$ , so  $\int_{\gamma} k_g ds = -\int_{\tilde{\gamma}} \tilde{k}_g ds$ . As a result, if  $\{T_j\}$  is a triangulation of  $S$ , then  $\sum_j \int_{\partial T_j} k_g ds = 0$ . Now we appeal to the local Gauss-Bonnet on each  $T_j$ : we get

$$(*) \quad \iint_{T_j} K dA + \int_{\partial T_j} k_g ds + (\theta_{j1} + \theta_{j2} + \theta_{j3}) = 2\pi$$

Write  $\theta_{jk} = \pi - \phi_{jk}$  for  $k=1,2,3$ , and note sum of the  $\phi$ 's at any vertex of this triangulation is  $2\pi$ . So now sum  $(*)$  over  $j$ : we get  $\iint_S K dA + 3\pi F - 2\pi V = 2\pi F$ . But each edge is shared between exactly two faces, so  $3F = 2E$ , and we get  $\iint_S K dA = 2\pi(V - E + F) = 2\pi \chi(S)$ , as desired.

