

# A positive mass theorem in 3-dimensional CR geometry

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# Introduction

- ▶ Joint work with Chin-Yu Hsiao
- ▶ Solution of a tangential Kohn Laplacian  $\square_b$
- ▶ Difficulty: we will work on a non-compact CR manifold
- ▶ e.g. Even on the Heisenberg group  $\mathbb{H}^n$ ,  $\square_b$  may not have closed range, when it is extended as a closed linear operator

$$\square_b: L^2(\mathbb{H}^n) \rightarrow L^2(\mathbb{H}^n)$$

- ▶ Way out: use conformal equivalence, and extend  $\square_b$  instead as

$$\square_b: L^p \rightarrow L^q$$

(Another possibility is to consider  $\square_b$  as an operator from a weighted  $L^2$  space to itself, as in our earlier work; we will not pursue that today)

- ▶ Application: a positive mass theorem in CR geometry, as was proposed by Cheng, Malchiodi and Yang.

# Outline of the talk

- ▶ The (Riemannian) Yamabe problem
- ▶ The CR Yamabe problem
- ▶ A CR positive mass theorem in 3-dimensions
- ▶ Solution of  $\square_b$  on a certain class of non-compact 3-dimensional CR manifolds

# The Yamabe problem

- ▶  $(M^n, g)$  compact Riemannian manifold of dimension  $n \geq 2$
- ▶ A metric  $\hat{g}$  is said to be conformally equivalent to  $g$ , if  $\hat{g} = e^{2w}g$  for some smooth function  $w$  on  $M$ .
- ▶ Question: Can one conformally change the metric  $g$ , such that the new metric  $\hat{g}$  has constant scalar curvature?
- ▶ Answer: Yes.  
dimension  $n = 2$ : uniformization theorem  
dimension  $n \geq 3$ : contribution by Yamabe, Trudinger, Aubin, Scheon, Yau, ...

- ▶ In dimension  $n \geq 3$ , write the conformal metric as

$$\hat{g} = u^{\frac{4}{n-2}} g$$

for some positive smooth function  $u$  on  $M$ , and

$$L_g := c_n \Delta_g + R_g$$

for the conformal Laplacian. Then the Yamabe problem for  $(M, g)$  reduces to the following PDE:

$$L_g u = R_{\hat{g}} u^{\frac{n+2}{n-2}}, \quad R_{\hat{g}} = \text{constant}.$$

- ▶ This is a variational problem: it suffices to minimize the functional

$$E_g(u) := \frac{\int_M (|\nabla_g u|^2 + R_g u^2) d\text{vol}_g}{\left( \int_M |u|^{\frac{2n}{n-2}} d\text{vol}_g \right)^{\frac{n-2}{n}}}.$$

- ▶ Define the Yamabe constant by

$$Y(M, g) := \inf\{E_g(u) : u \in C^\infty(M), u > 0\}.$$

- ▶ It is known that for any compact Riemannian manifold  $(M^n, g)$ , we have

$$Y(M, g) \leq Y(\mathbb{S}^n, g_{\text{std}}),$$

and the inequality is strict unless  $(M, [g]) \simeq (\mathbb{S}^n, [g_{\text{std}}])$ .

- ▶ If  $n = 3, 4, 5$  or  $(M^n, g)$  is locally conformally flat, this last statement was established via a positive mass theorem.
- ▶ This strictness of the inequality is important, because it is known that the Yamabe problem can be resolved in the affirmative when  $Y(M, g) < Y(\mathbb{S}^n, g_{\text{std}})$ .
- ▶ We now discuss the analog of the Yamabe problem in 3-dimensional CR geometry.

## The CR Yamabe problem: Set-up

- ▶  $M$ : an orientable CR manifold of dimension 3, meaning that there exists a distinguished 1-dimensional subbundle  $L$  of  $\mathbb{C}TM$ , with  $L \cap \bar{L} = \{0\}$ .
- ▶ Write  $\xi = \operatorname{Re}(L \oplus \bar{L})$ .
- ▶ Assume that there exists a (real) contact form  $\theta$  on  $M$  (so  $\theta \wedge d\theta \neq 0$  on  $M$ ), such that

$$\operatorname{kernel} \theta = \xi.$$

(In particular, this implies that  $M$  is strongly pseudoconvex.)

- ▶ Replacing  $\theta$  by  $-\theta$  if necessary, one can define a Hermitian inner product on  $L$ , by

$$(Z, W)_\theta := 2id\theta(Z \wedge \bar{W}), \quad Z, W \in \Gamma(L).$$

- ▶ We call such  $(M, \theta)$  a pseudohermitian manifold, and think of  $\theta \wedge d\theta$  as the natural volume form on  $M$ .

- ▶  $(M, \theta)$ : a pseudohermitian manifold
- ▶ Then as was first shown by Tanaka and Webster, one can define an associated connection on  $TM$ , that is compatible with the CR and pseudohermitian structures  
→ define the corresponding (scalar) curvature and torsion.
- ▶ Write  $R_\theta$  for the scalar curvature associated to  $\theta$ .
- ▶ e.g.  $(\mathbb{S}^3, \theta_{\text{std}})$ : standard round sphere  $\{|\zeta| = 1\}$  in  $\mathbb{C}^2$ ,

$$L = \text{span} \left\{ \bar{\zeta}^2 \frac{\partial}{\partial \zeta^1} - \bar{\zeta}^1 \frac{\partial}{\partial \zeta^2} \right\}, \quad \theta_{\text{std}} := i(\bar{\partial} - \partial)|\zeta|^2.$$

Then  $R_{\theta_{\text{std}}} \equiv 1$ .

- ▶ e.g.  $(\mathbb{H}^1, \theta_0)$ : Heisenberg group  $\simeq \mathbb{C} \times \mathbb{R}$ ,

$$L = \text{span} \left\{ \frac{\partial}{\partial z} + i\bar{z} \frac{\partial}{\partial t} \right\}, \quad \theta_0 := dt + i(zd\bar{z} - \bar{z}dz).$$

Then  $R_{\theta_0} \equiv 0$ .



## Various differential operators of interest on $(M, \theta)$

- ▶ The subgradient  $\nabla_b$ :

$$\nabla_b u = (Xu, Yu)$$

where  $Z := \frac{1}{2}(X + iY)$  is a local section of  $L$  with  $(Z, Z)_\theta = 1$ .

- ▶ The sublaplacian  $\Delta_b$ :

$$\Delta_b u = (X^*X + Y^*Y)u$$

where  $X, Y$  are as above, and  $X^*, Y^*$  are their adjoint under  $L^2(\theta \wedge d\theta)$ .

- ▶ The Kohn Laplacian  $\square_b$ :

$$\square_b u = \bar{Z}^* \bar{Z} u$$

where  $\bar{Z}$  is a local section of  $\bar{L}$  with  $(\bar{Z}, \bar{Z})_\theta = 1$ , and  $\bar{Z}^*$  is its adjoint under  $L^2(\theta \wedge d\theta)$ .

- ▶ The conformal sublaplacian  $L_b$ :

$$L_b f = (4\Delta_b + R_\theta)f.$$

It describes how the Tanaka-Webster scalar curvature changes under a conformal change of contact form: if  $\hat{\theta} = u^2\theta$ , then

$$L_b u = R_{\hat{\theta}} u^3.$$

- ▶ The CR Paneitz operator  $P_b$ :

$$P_b f = \frac{1}{4} \square_b \bar{\square}_b f - iZ[\text{Tor}_\theta(T, \bar{Z})f]$$

where  $\text{Tor}_\theta$  is the torsion of the Tanaka-Webster connection on  $(M, \theta)$ ,  $\bar{Z}$  is a local section of  $\bar{L}$  with  $(\bar{Z}, \bar{Z})_\theta = 1$ , and  $T$  is the Reeb vector field of the contact form  $\theta$ . It can be used to describe how a certain CR  $Q$ -curvature changes under a conformal change of the contact form.

# The CR Yamabe problem

- ▶  $(M, \theta)$  3-dimensional pseudohermitian.
- ▶ If  $\hat{\theta} = u^2\theta$  for some smooth function  $u$  with  $u > 0$ , then

$$(Z, W)_{\hat{\theta}} = u^2(Z, W)_{\theta}, \quad Z, W \in \Gamma(L),$$

and we say  $\hat{\theta}$  is conformally equivalent to  $\theta$ .

- ▶ Question: If  $(M, \theta)$  is compact, can we conformally change the contact form  $\theta$ , such that the new contact form  $\hat{\theta}$  has Tanaka-Webster scalar curvature  $R_{\hat{\theta}} = \text{constant}$ ?
- ▶ This is equivalent to solving the CR Yamabe equation on  $M$ :

$$L_b u = R_{\hat{\theta}} u^3, \quad R_{\hat{\theta}} = \text{constant}.$$

- ▶ The problem is again variational: it suffices to minimize the functional

$$E_{\theta}(u) := \frac{\int_M (|\nabla_b u|^2 + R_{\theta} u^2) \theta \wedge d\theta}{\left(\int_M u^4 \theta \wedge d\theta\right)^{1/2}}.$$

- ▶ Define the CR Yamabe constant by

$$Y(M, \theta) := \inf \{E_{\theta}(u) : u \in C^{\infty}(M), u > 0\}.$$

- ▶ It is an old result of Jerison and Lee, that for any compact 3-dimensional pseudohermitian manifolds  $(M, \theta)$ ,

$$Y(M, \theta) \leq Y(\mathbb{S}^3, \theta_{\text{std}}).$$

Also, if strict inequality holds, then  $Y(M, \theta)$  is attained by a positive smooth function  $u$  on  $M$ , and the CR Yamabe problem can be resolved in the affirmative.

→ Focus only on the case  $Y(M, \theta) > 0$ .

# The Green's function of the conformal sublaplacian

- ▶  $(M, \theta)$  3-dimensional compact pseudohermitian,  $Y(M, \theta) > 0$ .
- ▶ Fix a point  $p \in M$ .
- ▶ We study the Green's function  $G_p$  of the conformal sublaplacian of  $(M, \theta)$  with pole  $p$ : in other words,  $G_p$  is singular at  $p$ , with

$$L_b G_p = 16\delta_p.$$

- ▶ Write  $\rho(q)$  for a suitable non-isotropic distance from  $q$  to  $p$ .
- ▶ Also, let  $\mathcal{O}^j$  be the set of all smooth functions  $f$  on  $M \setminus \{p\}$ , with

$$|f(q)| \lesssim \rho(q)^j,$$

and  $|\nabla_b^k f(q)| \lesssim \rho(q)^{j-k}$  for  $k = 1, 2, \dots$

- ▶ By first conformally changing the contact form on  $M$  if necessary, for  $q \in M$  near  $p$ , the Green's function admits an expansion

$$G_p(q) = \frac{1}{2\pi} \rho(q)^{-2} + A + \text{error}, \quad \text{error} \in \mathcal{O}^1.$$

where  $A$  is a constant.

- ▶ This is the analog of the conformal normal coordinates in CR geometry.
- ▶ We will assume our contact form  $\theta$  has been chosen already, so that the above expansion of  $G_p$  is valid near  $p$ .
- ▶ The constant  $A$  will be a positive multiple of the mass of a certain blow-up of  $(M, \theta)$ . Its sign will be important in the CR Yamabe problem in 3 dimensions.

# A CR positive mass theorem

## Theorem (Cheng-Malchiodi-Yang)

*Suppose  $(M, \theta)$  is a 3-dimensional compact pseudohermitian CR manifold. Suppose in addition*

- (i)  $Y(M, \theta) > 0$ , and*
- (ii) the Paneitz operator  $P_b$  is non-negative, in the sense that  $\int_M v \cdot \overline{P_b v} \theta \wedge d\theta \geq 0$  for all  $v \in C^\infty(M)$ .*

*For any  $p \in M$ , let  $G_p$  be the Green's function of the conformal sublaplacian  $L_b$  at  $p$ , and  $A$  be the constant term in the expansion of  $G_p$  in CR conformal normal coordinates. Then*

- (a)  $A \geq 0$ ;*
- (b) If  $A = 0$  at some point  $p \in M$ , then  $M$  is CR equivalent to  $\mathbb{S}^3$ , and  $[\theta] = [\theta_{std}]$ .*

- ▶ It follows that under the same assumptions, unless  $(M, [\theta]) \simeq (\mathbb{S}^3, [\theta_{\text{std}}])$ , we have  $A > 0$  in the expansion of  $G_p$ .
- ▶ But when  $A > 0$ , one can construct a suitable test function  $u$  on  $M$ , to show that

$$E_\theta(u) < Y(\mathbb{S}^3, \theta_{\text{std}}).$$

( $u$  is obtained by gluing  $G_p$  to a standard bubble on  $(\mathbb{H}^1, \theta_0)$ .)

- ▶ Hence under the assumptions of the above theorem, we have

$$Y(M, \theta) < Y(\mathbb{S}^3, \theta_{\text{std}})$$

unless  $(M, [\theta]) \simeq (\mathbb{S}^3, [\theta_{\text{std}}])$ , and the CR Yamabe quotient  $Y(M, \theta)$  is achieved by some positive smooth minimizer.

- ▶ See also Gamara and Gamara-Jacoub, where they solved the CR Yamabe problem by seeking critical points of the functional  $E_\theta$  that are not necessarily minimizers.



## Theorem (Cheng-Malchiodi-Yang)

Suppose  $(M, \theta)$  is a 3-dimensional compact pseudohermitian CR manifold. Suppose in addition

- (i)  $Y(M, \theta) > 0$ , and
- (ii) the Paneitz operator  $P_b$  is non-negative, in the sense that  $\int_M v \cdot \overline{P_b v} \theta \wedge d\theta \geq 0$  for all  $v \in C^\infty(M)$ .

For any  $p \in M$ , let  $G_p$  be the Green's function of the conformal sublaplacian  $L_b$  at  $p$ , and  $A$  be the constant term in the expansion of  $G_p$  in CR conformal normal coordinates. Then

- (a)  $A \geq 0$ ;
- (b) If  $A = 0$  at some point  $p \in M$ , then  $M$  is CR equivalent to  $\mathbb{S}^3$ , and  $[\theta] = [\theta_{std}]$ .

- ▶ The theorem is about understanding the Green's function  $G_p$ .
- ▶ To do so, one first constructs the blow-up  $(M^\#, \theta^\#)$  of  $(M, \theta)$ , where

$$M^\# := M \setminus \{p\}, \quad \theta^\# := G_p^2 \theta.$$

- ▶ Then  $(M^\#, \theta^\#)$  becomes a non-compact pseudohermitian manifold with infinite volume.
- ▶ Under a further change of coordinates, if  $U$  is a sufficiently small neighborhood of  $p$  in  $M$ , then one can identify

$$U \setminus \{p\} \subset M^\# \quad \leftrightarrow \quad \text{a neighborhood of infinity on } \mathbb{H}^1.$$

Since  $\mathbb{H}^1$  is flat, this allows one to identify  $M^\#$  as an *asymptotically flat* pseudohermitian manifold.

- ▶ Example:

$$M = \mathbb{S}^3 \subset \mathbb{C}^2, \quad \theta = \theta_{\text{std}} = i(\bar{\partial} - \partial)|\zeta|^2, \quad p = (0, -1)$$

- ▶ The Green's function of conformal sublaplacian on  $M$  with pole  $p$  is then  $G_p = |h|$ , where

$$h(\zeta_1, \zeta_2) = \frac{1}{1 + \zeta_2}.$$

- ▶ Then  $(M^\#, \theta^\#) := (M \setminus \{p\}, G_p^2 \theta)$  is isometric to the Heisenberg group  $(\mathbb{H}^1, \theta_0)$ , where  $\theta_0 = dt + i(zd\bar{z} - \bar{z}dz)$ ; in fact the 'stereographic projection' map

$$\zeta \in \mathbb{S}^3 \setminus \{p\} \mapsto (z, t) \in \mathbb{H}^1$$

$$z = \frac{\zeta_1}{1 + \zeta_2}, \quad t = -\text{Re} \frac{1 - \zeta_2}{1 + \zeta_2}$$

is an isometry between  $(M^\#, \theta^\#)$  and  $(\mathbb{H}^1, \theta_0)$ .

- ▶ Back to our general setting, where  $(M^\#, \theta^\#)$  is asymptotically flat; in particular, there exists a compact subset  $K$  of  $M^\#$ , where we identify  $M^\# \setminus K$  with a neighborhood of infinity on  $\mathbb{H}^1$ .
- ▶ It turns out one can **define** the *mass* of such  $(M^\#, \theta^\#)$ , by means of an integral of certain geometric quantities on a ‘sphere at infinity’ on  $\mathbb{H}^1$ .

### Proposition (Cheng-Malchiodi-Yang)

*Suppose  $(M^\#, \theta^\#)$  arises from the blow-up of a compact 3-dimensional pseudohermitian manifold  $(M, \theta)$  as described above at some point  $p \in M$ . Then its mass satisfies*

$$m(M^\#, \theta^\#) = 48\pi^2 A,$$

*where  $A$  is the constant in the expansion of the Green's function  $G_p$  of  $L_b$  on  $(M, \theta)$  at  $p$ , in CR conformal normal coordinates.*

## Proposition (continued)

Furthermore, there exists some function  $w \in \mathcal{O}^{-1}$  on  $M^\sharp$ , with  $\square_b^\sharp w \in \mathcal{O}^4$ , such that the mass of  $(M^\sharp, \theta^\sharp)$  satisfies

$$m(M^\sharp, \theta^\sharp) = -\frac{3}{2} \int_{M^\sharp} |\square_b^\sharp w|^2 \theta^\sharp \wedge d\theta^\sharp + 3 \int_{M^\sharp} |\nabla_{\bar{Z}^\sharp}^\sharp \nabla_{\bar{Z}^\sharp}^\sharp w|^2 \theta^\sharp \wedge d\theta^\sharp \\ + \frac{3}{4} \int_{M^\sharp} w \cdot \overline{P_b^\sharp w} \theta^\sharp \wedge d\theta^\sharp.$$

Here  $\square_b^\sharp$ ,  $\nabla^\sharp$  and  $P_b^\sharp$  are the Kohn Laplacian, the Tanaka-Webster connection, and CR Paneitz operator with respect to  $(M^\sharp, \theta^\sharp)$ , and  $\bar{Z}^\sharp$  is a section of  $\bar{L}$  on  $M^\sharp$  with  $(\bar{Z}^\sharp, \bar{Z}^\sharp)_{\theta^\sharp} = 1$ .

- ▶ This is a version of Bochner's formula; one gets this by integrating by parts twice in the term involving  $P_b^\sharp$ .

## Proposition (continued)

$$m(M^\sharp, \theta^\sharp) = -\frac{3}{2} \int_{M^\sharp} |\square_b^\sharp w|^2 \theta^\sharp \wedge d\theta^\sharp + 3 \int_{M^\sharp} |\nabla_{\bar{z}^\sharp}^\sharp \nabla_{z^\sharp}^\sharp w|^2 \theta^\sharp \wedge d\theta^\sharp \\ + \frac{3}{4} \int_{M^\sharp} w \cdot \overline{P_b^\sharp w} \theta^\sharp \wedge d\theta^\sharp.$$

*In addition, the same continues to hold, when  $w$  is replaced by any  $v$  on  $M^\sharp$ , with  $v - w \in \mathcal{O}^{1+\delta}$  and  $\square_b^\sharp v \in \mathcal{O}^{3+\delta}$  for some  $\delta > 0$ .*

## Theorem (Hsiao-Y.)

*Under the assumptions of the 3-dim CR positive mass theorem, namely that  $Y(M, \theta) > 0$  and  $P_b \geq 0$  on  $(M, \theta)$ , there exists a smooth function  $v$  on  $M^\sharp$ , such that*

$$v - w \in \mathcal{O}^{1+\delta} \quad \text{for all } \delta \in (0, 1), \text{ and } \square_b^\sharp v = 0.$$

- ▶ As a result, the formula for mass simplifies:

$$m(M^\sharp, \theta^\sharp) = 3 \int_{M^\sharp} |\nabla_{\bar{z}^\sharp}^\sharp \nabla_{z^\sharp}^\sharp v|^2 \theta^\sharp \wedge d\theta^\sharp + \frac{3}{4} \int_{M^\sharp} v \cdot \overline{P_b^\sharp} v \theta^\sharp \wedge d\theta^\sharp.$$

With a little more work to bring the integral involving  $P_b^\sharp$  under control, we can show that  $m(M^\sharp, \theta^\sharp) \geq 0$ .

(In fact the integral involving  $P_b^\sharp$  can be written as the sum of a non-negative term with  $-\frac{4}{3}m(M^\sharp, \theta^\sharp)$ , the latter of which can be reabsorbed into the left hand side.)

- ▶ Recalling the relation between  $m(M^\sharp, \theta^\sharp)$  and the constant term  $A$  in the expansion of the Green's function  $G_p$  at  $p$ , one sees that

$$A = \frac{1}{48\pi^2} m(M^\sharp, \theta^\sharp) \geq 0.$$

- ▶ Further work then allows one to characterize when  $A$  is zero at some point  $p$ .

## Solving $\square_b^\sharp$

- ▶ Recall the statement of our theorem:  $w \in \mathcal{O}^{-1}$  is a given function on  $M$ , with  $\square_b^\sharp w \in \mathcal{O}^4$ .

### Theorem (Hsiao-Y.)

*If  $Y(M, \theta) > 0$  and  $P_b \geq 0$  on  $(M, \theta)$ , then there exists a smooth function  $v$  on  $M^\sharp$ , such that*

$$v - w \in \mathcal{O}^{1+\delta} \quad \text{for all } \delta \in (0, 1), \text{ and } \square_b^\sharp v = 0.$$

- ▶ To prove this, let  $f = \square_b^\sharp w \in \mathcal{O}^{3+\delta}$  for all  $\delta \in (0, 1)$ .
- ▶ We solve  $\square_b^\sharp u = f$  for  $u \in \mathcal{O}^{1+\delta}$  with estimates.
- ▶ Hence taking  $v = w - u$ , we have all conclusions of our theorem, namely  $v - w \in \mathcal{O}^{1+\delta}$ , and  $\square_b^\sharp v = 0$ .
- ▶ Thus the key is to solve the Kohn Laplacian on  $(M^\sharp, \theta^\sharp)$ . This is done via the conformal equivalence between  $\theta^\sharp$  with  $\theta$ .



## A toy problem

- ▶ We saw how  $(\mathbb{H}^1, \theta_0)$  arises as the blow-up of  $(\mathbb{S}^3, \theta_{\text{std}})$ .
- ▶ We know very well how one could solve the Kohn Laplacian  $\square_b$  on  $(\mathbb{S}^3, \theta_{\text{std}})$ .
- ▶ Question: Can we use this knowledge to solve

$$\square_b^\# u = f \quad \text{on } (\mathbb{H}^1, \theta_0)?$$

- ▶ The key here turns out to be that not only  $\theta_0 = G_p^2 \theta_{\text{std}}$ , but also there exists a CR function  $h$  on  $\mathbb{S}^3 \setminus \{p\}$ , i.e. one with

$$\bar{Z}h = 0, \quad \text{such that} \quad G_p = |h|.$$

In fact, as we saw before, in this case one can take  $h$  to be

$$h(\zeta_1, \zeta_2) = \frac{1}{1 + \zeta_2}.$$

- ▶ Let  $\bar{Z}$  be a section of  $\bar{L}$  on  $\mathbb{S}^3$  with  $(\bar{Z}, \bar{Z})_{\theta_{\text{std}}} = 1$ .
- ▶ Write  $\bar{Z}^*$  for its formal adjoint under  $L^2(\mathbb{S}^3, \theta_{\text{std}} \wedge d\theta_{\text{std}})$ .
- ▶ Then  $\bar{Z}^\sharp := h^{-1}\bar{Z}$  is a section of  $\bar{L}$  on  $\mathbb{H}^1$ , with  $(\bar{Z}^\sharp, \bar{Z}^\sharp)_{\theta_0} = 1$ .
- ▶ Also, the formal adjoint of  $\bar{Z}^\sharp$  under  $L^2(\mathbb{H}^1, \theta_0 \wedge d\theta_0)$  is given by

$$(\bar{Z}^\sharp)^* v = |h|^{-4} \bar{Z}^*(h|h|^2 v);$$

this follows since  $\theta_0 \wedge d\theta_0 = |h|^4 \theta_{\text{std}} \wedge d\theta_{\text{std}}$ . In fact,

$$\begin{aligned} \int \bar{Z}^\sharp u \cdot \bar{v} \theta_0 \wedge d\theta_0 &= \int h^{-1} \bar{Z} u \cdot \bar{v} |h|^4 \theta_{\text{std}} \wedge d\theta_{\text{std}} \\ &= \int u \cdot \overline{\bar{Z}^*(h|h|^2 v)} \theta_{\text{std}} \wedge d\theta_{\text{std}} \\ &= \int u \cdot \overline{|h|^{-4} \bar{Z}^*(h|h|^2 v)} \theta_0 \wedge d\theta_0. \end{aligned}$$

$$\bar{Z}^\sharp u = h^{-1} \bar{Z} u, \quad (\bar{Z}^\sharp)^* v = |h|^{-4} \bar{Z}^* (h|h|^2 v), \quad \square_b^\sharp = (\bar{Z}^\sharp)^* \bar{Z}^\sharp.$$

► Hence

$$\square_b^\sharp u = |h|^{-4} \bar{Z}^* (h|h|^2 \cdot h^{-1} \bar{Z} u) = |h|^{-4} \bar{h} \bar{Z}^* \bar{Z} (hu),$$

the last equality following from the commutativity about  $\bar{Z}$  and  $h$ . In other words,

$$\square_b^\sharp u = \bar{h}^{-1} h^{-2} \square_b (hu).$$

► Thus to solve  $\square_b^\sharp u = f$  on  $\mathbb{H}^1$ , one could solve instead

$$\square_b (hu) = \bar{h} h^2 f \quad \text{on } \mathbb{S}^3;$$

one can do this using standard theory about solutions of  $\square_b$ .

## The general case

- ▶ Back to the general case, where  $M^\sharp = M \setminus \{p\}$ , and  $\theta^\sharp = G_p^2 \theta$ . Then it is not necessarily true that

$$G_p = |h|$$

for some CR function  $h$ .

- ▶ Good news: one can still construct a CR function  $h$ , so that

$$|h|^2 G_p^{-2} = 1 + a, \quad \text{for some error } a \in \mathcal{O}^2.$$

- ▶ Bad news: The error  $a$  may not be smooth across  $p$ .

## A tale of 3 different $\square_b$ 's

- ▶ Goal: to solve  $\square_b^\sharp$  on  $M^\sharp$
- ▶ Step 1: Introduce  $\tilde{\square}_b$  on  $M$ , such that  $\square_b^\sharp$  is conjugate to  $\tilde{\square}_b$ .
- ▶ Problem:  $\tilde{\square}_b$  will in general have non-smooth coefficients
- ▶ Way out: Construct  $\hat{\square}_b$ , with smooth coefficients, that approximates  $\tilde{\square}_b$

- ▶ Let  $\bar{Z}$  be a local section of  $\bar{L}$  on  $M$ , with  $(\bar{Z}, \bar{Z})_\theta = 1$ .
- ▶ Let  $\bar{Z}^\sharp := G_p^{-1}\bar{Z}$ , and define its Hilbert space closure

$$\bar{Z}^\sharp: L^2(\theta^\sharp \wedge d\theta^\sharp) \rightarrow L^2(\theta^\sharp \wedge d\theta^\sharp).$$

Let  $(\bar{Z}^\sharp)^*$  be its adjoint. Then

$$\square_b^\sharp = (\bar{Z}^\sharp)^* \bar{Z}^\sharp.$$

- ▶ Define two (possibly non-smooth) measures

$$\tilde{m}_0 = (1 + \chi a)^{-1} \theta \wedge d\theta, \quad \tilde{m}_1 = G_p^2 |h|^{-2} \theta \wedge d\theta.$$

Here  $\chi$  is a smooth function, which is identically 1 near  $p$ , and vanishes outside a small neighborhood of  $p$ .

- ▶  $\tilde{m}_0$  and  $\tilde{m}_1$  are finite measures on  $M$ , which we think of as perturbations of  $\theta \wedge d\theta$ . In fact

$$\tilde{m}_0 = \tilde{m}_1 = \theta \wedge d\theta \quad \text{when } a = 0.$$

- ▶ Let  $\tilde{Z} := G_p \overline{Z}^\sharp$ , and define its Hilbert space closure

$$\tilde{Z}: L^2(\tilde{m}_0) \rightarrow L^2(\tilde{m}_1).$$

Let  $\tilde{Z}^*$  be its adjoint. Define

$$\tilde{\square}_b := \tilde{Z}^* \tilde{Z}.$$

- ▶ One can check that for any function  $u$ ,

$$\square_b^\sharp u = (1 + \chi a)^{-1} G_p^{-4} \bar{h} \tilde{\square}_b (h^{-1} u).$$

- ▶ Hence solving  $\square_b^\sharp u = f$  is the same as solving

$$\tilde{\square}_b (h^{-1} u) = (1 + \chi a) G_p^4 \bar{h}^{-1} f.$$

- ▶ Problem:  $\tilde{\square}_b$  is defined using two possibly non-smooth measures  $\tilde{m}_0$  and  $\tilde{m}_1$ . The standard theory of Kohn Laplacians do not cover this!
- ▶ The way out: construct a smooth Kohn Laplacian  $\hat{\square}_b$ , which approximates  $\tilde{\square}_b$ .



- ▶ Define two new measures

$$\hat{m}_0 = \theta \wedge d\theta, \quad \hat{m}_1 = (1 + \chi a) G_p^2 |h|^{-2} \theta \wedge d\theta.$$

so that near  $p$ ,

$$\hat{m}_1 = (1 + a) G_p^2 |h|^{-2} \theta \wedge d\theta = \theta \wedge d\theta.$$

- ▶ In particular,  $\hat{m}_0$  and  $\hat{m}_1$  are both smooth across  $p$ .
- ▶ Let  $\bar{Z}$  be as before with  $(\bar{Z}, \bar{Z})_\theta = 1$ , and  $\hat{Z} := \bar{Z}$ . We extend  $\hat{Z}$  to its Hilbert space closure

$$\hat{Z}: L^2(m_0) \rightarrow L^2(m_1).$$

Let  $\hat{Z}^*$  be its adjoint. Define

$$\hat{\square}_b := \hat{Z}^* \hat{Z}.$$

- ▶  $\hat{\square}_b$  is not quite the standard Kohn Laplacian  $\square_b$  on  $M$ , since the adjoint  $\hat{Z}^*$  is taken with respect to two different measures; but the standard theory of Kohn Laplacians carry over easily.
- ▶ By a result of Chanillo-Chiu-Yang, the conditions  $Y(M, \theta) > 0$  and  $P_b \geq 0$  implies that

$$\hat{\square}_b: L^2(\theta \wedge d\theta) \rightarrow L^2(\theta \wedge d\theta) \text{ has closed range.}$$

So we know in principle how to solve  $\hat{\square}_b$ .

- ▶ But one can check that there exists a function  $g \in \mathcal{O}^1$ , with a sufficiently small support near  $p$ , such that

$$\tilde{\square}_b = \hat{\square}_b + g\bar{Z}.$$

- ▶ One can then solve  $\tilde{\square}_b$  using the solution operator for  $\hat{\square}_b$ , by adding up a suitable Neumann series. The key is the estimates of various solution operators in  $L^p(\theta \wedge d\theta)$  and  $\mathcal{O}^\alpha$ .